

# Fermion Masses and Mixing in Thick Branes

XIV<sup>th</sup> RENCONTRES DE BLOIS  
MATTER-ANTIMATTER ASYMMETRY

JUNE 2002

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"Split fermions in extra dimensions and CP violation"  
by G.C. Branco, A. de Gouvea, MNR  
Phys. Lett. 506B (2001) 115

"Neutrino masses and lepton flavour violation in  
thick brane scenarios" by G. Baumgart, G.C. Branco, A. de Gouvea and MNR  
Phys Rev D 64 (2001) 073005

# EXTRA DIMENSIONS

Old Idea Kaluza, Klein, Nordström  
(compactification)  $R \lesssim 10^{-17} \text{ cm}$

Strings require extra dimensions  
revival of interest in the eighties

Some papers on SM fields in extra dim already in the 80's

great revolution occurred in the late nineties prompted by

- Arkani-Hamed, Dimopoulos and Dvali (ADD)

"Large" extra dimensions (eg millimetric size)  
provided only gravity populates them

- Randall and Sundrum (RS)

Infinite extra dimensions with more  
factorizable geometries

A lot of work has been done on

Large Extra Dimensions and

- gauge hierarchy problem
- symmetry and supersymmetry breaking
- cosmology
- fermion masses and mixing



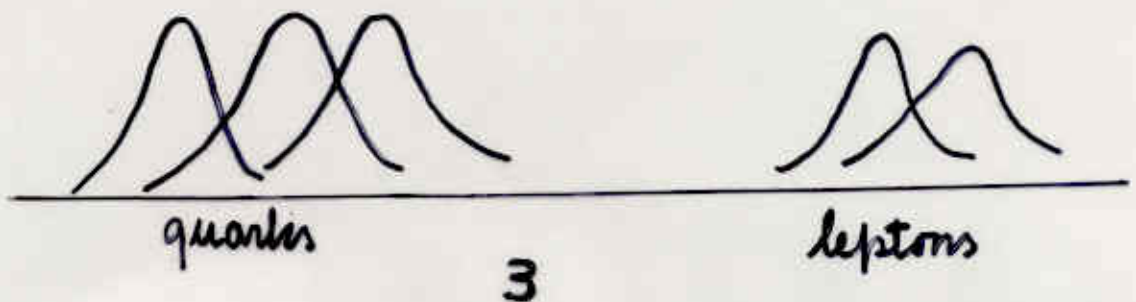
## ADD and RS

- We live on a 3-brane, i.e. four dimensional spacetime (domain wall) located in the extra dimensions; gravity propagates in the whole space
- from the viewpoint of our 3-brane the fundamental mass scale is the TeV scale
- at the TeV scale quantum gravity gets strong

## SPECIAL FRAMEWORK (AS)

Arkani-Hamed, Schmaltz

- SM fields are constrained to live on a wall in  $n$  extra dimensions; gravity and perhaps other SM singlet fields are free to propagate
- Our wall is slightly thick in one (or more) of the extra dimensions
- Wall substructure: Higgs and SM gauge fields are free to propagate  
SM fermions are fixed at different points in the wall, with wave functions given by narrow gaussians.
- Direct couplings between fermions suppressed by the exponentially small overlaps of wave functions





# IMPORTANT ISSUES ADDRESSED SUCCESSFULLY

- Proton stability
- Suppression of FCNC
- FERMION MASS HIERARCHY

AS scenario leads to startling consequences which may be observed at next-generation collider experiments

Proton stability requires suppression of operator of the form  $\frac{1}{\Lambda^2} Q Q Q L$

in normal GUT's  $\Lambda \approx M_{\text{GUT}} \approx 10^{16} \text{ GeV}$

(proton lifetime  $> 3 \times 10^{33} \text{ yr}$ )

In these extra dimension scenarios  $\Lambda \sim 1 \text{ TeV}$  in the AS scenario, with different fermions' located at different points in the extra dimensions, efficient suppression due to Q's and L's living in different "worlds" (distances between quarks and leptons  $\sim 10 \mu^{-1}$ )

Lepton flavour violating muon decays

$$\mu \rightarrow e \gamma, \mu \rightarrow e e e$$

and tau decays

$$\tau \rightarrow \mu \gamma, \tau \rightarrow e \gamma, \tau \rightarrow \mu \mu \mu, \tau \rightarrow e e e$$

$$(\tau \rightarrow \mu e e, e \mu \mu)$$

must also be suppressed

Different Yukawa couplings result from different overlaps of field wave functions inside the four dimensional domain wall in higher dimensional space

EFFECTIVE FOUR-DIMENSIONAL YUKAWA COUPLING:

$$\lambda_{ij} = e^{-\frac{1}{2} \mu^2 (l_i - l_j)^2} \cdot k_{ij}; \quad \mu^{-1} \text{ gaussian width}$$

FLAVOUR PUZZLE addressed through

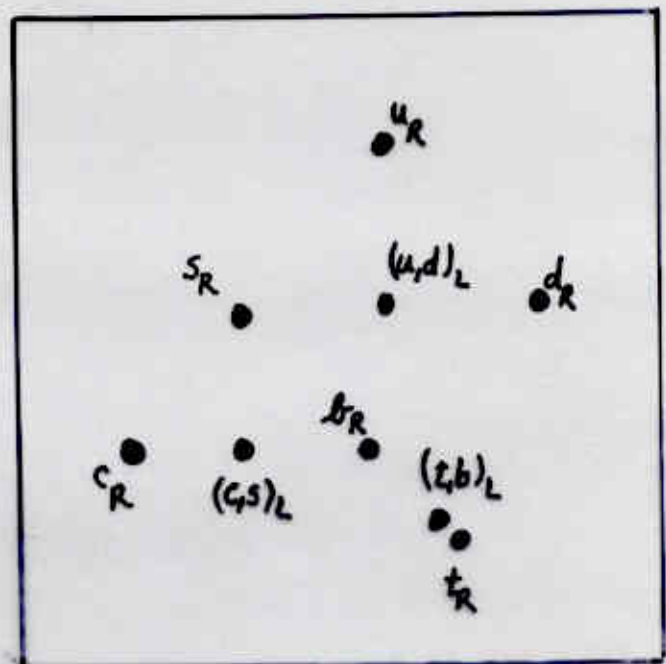
GEOMETRY RATHER THAN SYMMETRY ( $k_{ij} \sim 1$ )

- FORMALISM FAVOURING MASS MATRICES WITH SEVERAL ZERO ENTRIES
- NO RATIONAL FOR EQUALITIES AMONG NON ZERO ENTRIES

SOME POSSIBLE MASS TEXTURES: (Mirabelli and Schmeltz)

$$\begin{pmatrix} u & 0 & 0 \\ m_{11} & u & 0 \\ 0 & m_{22} & u \\ 0 & 0 & m_{33} \end{pmatrix}$$

$$\begin{pmatrix} m_{11}^d & m_{12}^d & m_{13}^d \\ 0 & m_{22}^d & m_{23}^d \\ 0 & 0 & m_{33}^d \end{pmatrix}$$



TWO EXTRA DIMENSIONS are needed

Triangular basis (T)

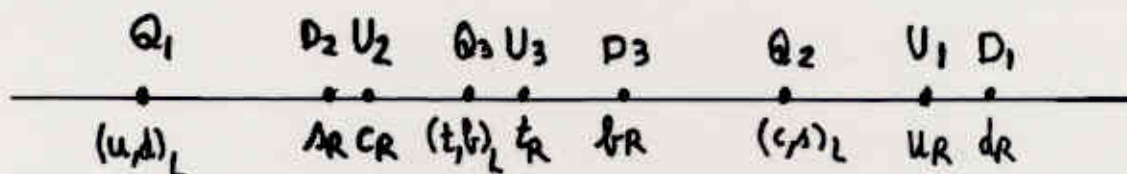


Nearest-Neighbour-Interaction, NNI, basis

$$M_d = \begin{pmatrix} 0 & a & 0 \\ a & 0 & b \\ 0 & b & c \end{pmatrix}, \quad M_u = \begin{pmatrix} 0 & d & 0 \\ d & 0 & e \\ 0 & e & f \end{pmatrix}$$

no Hermiticity assumed

Possible to realize with only ONE EXTRA DIMENSION



In the SM the NNI and T forms simply correspond to a choice of weak basis (WB)

$$M_u \rightarrow M_u' = W_L^\dagger M_u W_R^u$$

$$M_d \rightarrow M_d' = W_L^\dagger M_d W_R^d$$

Murabelli and Schmaltz found

$$M_d = \begin{pmatrix} 0 & 16.974 & 0 \\ 14.510 & 0 & 123.42 \\ 0 & 1373.2 & 2370.2 \end{pmatrix} \text{ MeV}$$

$$M_u = \begin{pmatrix} 1.7630 & 0 & 0 \\ 0 & 576.06 & 2.7882 \times 10^{-3} \\ 5902.8 & 0 & 165900 \end{pmatrix} \text{ MeV} \approx \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_c & 0 \\ 0 & 0 & m_t \end{pmatrix}$$

geometry similar to picture above,  $U_1 \leftrightarrow U_2$   
almost unique possible configuration in one extra dimension in order to reproduce masses and  $|V_{ij}|$

QUESTION? CAN THERE BE ENOUGH CP VIOLATION WITH ONLY ONE EXTRA DIMENSION

Assume all entries in  $M_d$  and  $M_d$  complex with arbitrary phases

$$H_u \equiv M_u M_u^\dagger \approx \begin{pmatrix} m_u^2 & 0 & 0 \\ 0 & m_c^2 & 0 \\ 0 & 0 & m_t^2 \end{pmatrix}; H_d \equiv M_d M_d^\dagger = \begin{pmatrix} H_{11}^d & 0 & H_{13}^d \\ 0 & H_{22}^d & H_{23}^d \\ H_{13}^{d*} & H_{23}^{d*} & H_{33}^d \end{pmatrix}$$

WB where  $H_u$  is diagonal, all  $|H_d|_{ij}$  have physical meaning and can be expressed as a function of quark masses and mixing angles

e.g.  $H_{12}^d = m_d^2 V_{ud} V_{cd}^* + m_s^2 V_{us} V_{cs}^* + m_b^2 V_{ub} V_{cb}^*$

$V_{ij}$  are CKM elements

$H_{12}^d = 0$  Together with unitarity:

$$\frac{m_s^2 - m_d^2}{m_b^2 - m_d^2} = \frac{|V_{ub}| |V_{cb}|}{|V_{us}| |V_{cs}|}$$

and

$$\arg(V_{ub} V_{cs} V_{us}^* V_{cb}^*) = \pi \quad (\text{no CP violation})$$

Yet  $m_{31}^u \neq 0$

but still  $J \equiv \text{Im}(V_{ub} V_{cs} V_{us}^* V_{cb}^*) \leq 5 \times 10^{-9}$

to be compared to  $J \approx 10^{-5}$  required from  $E_K$



WB invariant controlling CP violation

$$\text{Tr} [H_u, H_d]^3 = 0$$

Bernabue, Branco, Grimus

IF  $M_u$  diag

$$\text{Tr} [H_u, H_d]^3 = 6i (m_u^2 - m_c^2)(m_t^2 - m_c^2)(m_t^2 - m_u^2) \\ \times \text{Im} (H_{12}^d H_{23}^d H_{13}^{d*})$$

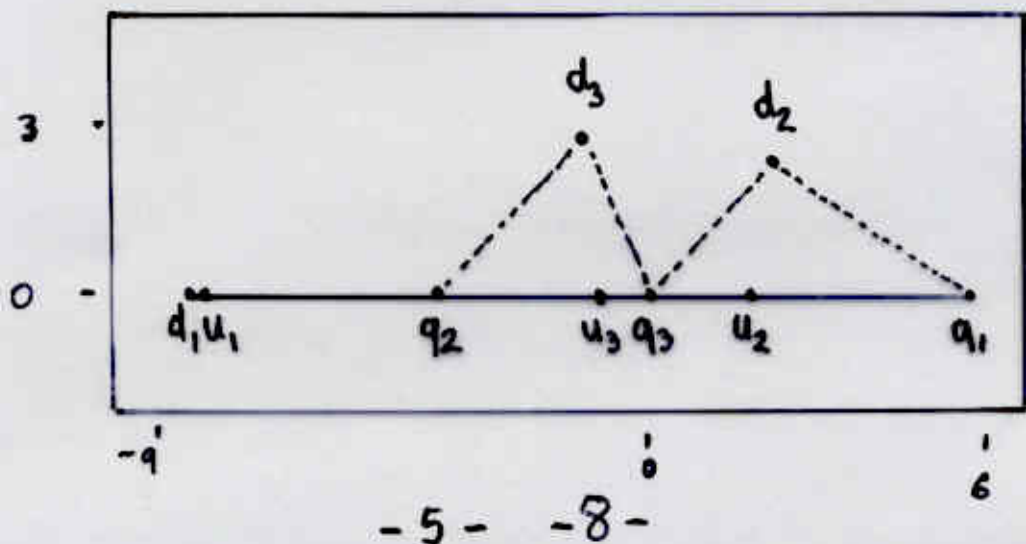
in NNI form for  $M_d$   $H_{12}^d = 0$

In M.S.  $m_{3,1}^u$  is "too small"

MORE MIXING REQUIRED FROM  $M_u$ !

HENCE BIGGER OFF DIAGONAL ENTRIES NEEDED IN  $M_u$

IMPLYING THE NEED FOR  $U_L$  TO GET CLOSER TO  $Q_L \rightarrow$  TWO EXTRA DIMENSIONS NEEDED!





NNI basis:

$$H_{u,d} = \begin{pmatrix} H_{11}^{(u,d)} & 0 & H_{13}^{(u,d)} \\ 0 & H_{22}^{(u,d)} & H_{23}^{(u,d)} \\ H_{13}^{(u,d)*} & H_{23}^{(u,d)*} & H_{33}^{(u,d)} \end{pmatrix}$$

possible to eliminate all complex phases from  $H_u$

$$H_u \rightarrow K^\dagger H_u K, \quad H_d \rightarrow K^\dagger H_d K$$

phases in  $H_d$  still arbitrary

Diagonalization

$$O_u^\dagger H_u O_u = \text{diag}(m_u^2, m_c^2, m_t^2)$$

$$O_d^\dagger K'^\dagger H_d K' O_d = \text{diag}(m_d^2, m_s^2, m_b^2)$$

$$K' = \text{diag}(1, e^{i\phi}, e^{i\sigma}) \text{ with loss of generality}$$

$$V_{CKM} = O_u^\dagger K' O_d \quad \phi, \sigma \text{ free parameters}$$

Expanding  $V_{CKM}$

$$\text{eg } V_{us} = O_{11}^u O_{12}^d + O_{21}^u O_{22}^d e^{i\phi} + O_{31}^u O_{32}^d e^{i\sigma} \\ \dots$$

Series of guided trials using these equations together with quark mass spectrum

Results

- impossibility of generating sufficient CP violation with correct masses and mixing in one dimension extra

- no solution to masses and mixing significantly different from MS

Strong connection between  $|V_{us}|$  and strength of  $\phi$

# Strategy in the search for solutions

## Diagonalization of mass matrices

$$O_u^\dagger H_u O_u = \text{diag}(m_u^2, m_c^2, m_t^2)$$

$$O_d^\dagger K'^\dagger H_d K' O_d = \text{diag}(m_d^2, m_s^2, m_b^2)$$

$$K' = \text{diag}(1, e^{i\phi}, e^{i\sigma})$$

$\phi, \sigma$  free parameters

$$V_{CKM} = O_u^\dagger K' O_d$$

In one extra dimension VCKM dominated by  $O_d$

Existence of non negligible contribution from  $O_u$  required

Interesting set of locations found:

$$q_i = \frac{1}{\mu} \begin{pmatrix} 5.941; 0 \\ -4.008; 0 \\ 0; 0 \end{pmatrix}; u_i = \frac{1}{\mu} \begin{pmatrix} -8.347; 0 \\ 1.815; 0 \\ -0.941; 0 \end{pmatrix}; d_i = \frac{1}{\mu} \begin{pmatrix} -8.421; 0 \\ 2.219; 2.332 \\ -1.253; 2.767 \end{pmatrix}$$

assuming  $K' \nu = 1.5 m_t$

$$M_d = \begin{pmatrix} 0 & 16.112 & 0 \\ 14.690 & 0 & 121.77 \\ 0 & 1400 & 2467.8 \end{pmatrix} \text{ MeV}$$

$$M_u = \begin{pmatrix} 0 & 50.0 & 0 \\ 20.3 & 0 & 2258 \\ 0 & 48000 & 160000 \end{pmatrix} \text{ MeV}$$

$$m_u = 1.5 \text{ MeV}$$

$$m_d = 3.2 \text{ MeV}$$

$$m_s = 63.3 \text{ MeV}$$

$$m_c = 651 \text{ MeV}$$

$$m_b = 2839 \text{ MeV}$$

$$m_t = 167059 \text{ MeV}$$

large value of  $\phi$  crucial for choice  $\phi = 85^\circ$ ,  $\sigma = 0^\circ$

$$|V_{CKM}| = \begin{pmatrix} 0.9753 & 0.2208 & 0.0034 \\ 0.2205 & 0.9746 & 0.0384 \\ 0.0108 & 0.0370 & 0.9993 \end{pmatrix}$$

$$J \equiv |\text{Im}(V_{ub} V_{cb} V_{us}^* V_{ts}^*)| \approx 2.2 \times 10^{-5}$$

$$\beta \equiv \arg\left(\frac{V_{cd} V_{cb}}{V_{td} V_{tb}^*}\right)$$

$$\sin(2\beta) = 0.47$$

$$\beta = 94^\circ$$



Same idea applied to leptonic masses and mixing

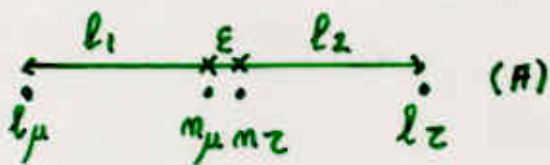
- existence of three righthanded neutrinos assumed
- only Dirac masses

$$\nu_i (\nu_R) ; \ell_i (\text{doublets}) ; \ell_i (\ell_R) \quad i=e, \mu, \tau$$

Smallness of neutrino masses related to separation between  $m$ 's and  $\ell$ 's

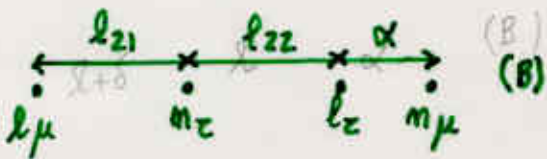
Large mixing requires some elements of  $M_\nu$  of the same order (for  $M_e$  diagonal)

First step: two family analysis ( $\mu, \tau$ ) large mixing



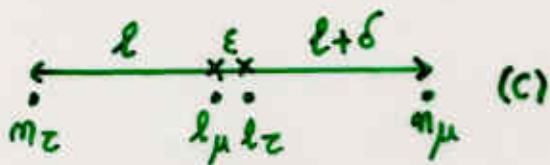
Fine tuning between  $\ell_1, \ell_2$  required

Charged lepton mass matrix almost diagonal

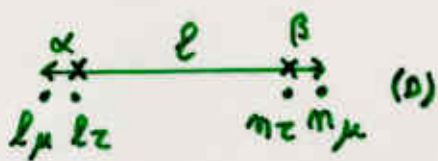


Fine tuning between  $\alpha, l_{zz}$  required

charged lepton mass matrix almost diagonal



$\mu \epsilon \gtrsim 1$  required ( $\tau \rightarrow \mu \nu$ ) yet not possible together with large mixing



$\mu \alpha \gtrsim 1$  required ( $\tau \rightarrow \mu \nu$ ) no good solutions

(C) and (D) are ruled out

(A) and (B) require fine-tuning

## Three family analysis

- Realistic solutions exist LMA, SMA, LOW, VAC
- Fine tuning as for two generations required
- Only configurations yielding hierarchical neutrino masses were found
- The charged lepton mass matrix is virtually diagonal for almost all solutions

Four examples which yield neutrino masses and mixing angles satisfying all neutrino data

$\begin{array}{cccccc} 8\mu^{-1} & 8\mu^{-1} & 7.9\mu^{-1} & 7.7\mu^{-1} & 7.7\mu^{-1} \\ \bullet & \bullet & \bullet & \bullet & \bullet \\ \hline \nu_e & \nu_e & \nu_e & \nu_e & \nu_e & \nu_e \end{array}$	LMA
$\begin{array}{cccccc} 7.7\mu^{-1} & 7.7\mu^{-1} & 8.0\mu^{-1} & 8.5\mu^{-1} \\ \bullet & \bullet & \bullet & \bullet \\ \hline \nu_\mu & \nu_\mu & \nu_e & \nu_e & \nu_e & \nu_e \end{array}$	SMA
$\begin{array}{cccccc} 8.4\mu^{-1} & 8.3\mu^{-1} & 7.7\mu^{-1} & 7.7\mu^{-1} \\ \bullet & \bullet & \bullet & \bullet \\ \hline \nu_e & \nu_e & \nu_\mu & \nu_\mu & \nu_e & \nu_e \end{array}$	LOW
$\begin{array}{cccccc} 8.8\mu^{-1} & 8.7\mu^{-1} & 7.7\mu^{-1} & 7.7\mu^{-1} \\ \bullet & \bullet & \bullet & \bullet \\ \hline \nu_e & \nu_e & \nu_e & \nu_e & \nu_\mu & \nu_\mu \end{array}$	VAC

In all these examples the frame is too thick  
Motivated to introduce more than one  
extra dimension



## Neutrino Masses without right-handed neutrinos

- See saw mechanism not an option for generating very small neutrino masses (no high energy scale)
  - Mechanism to obtain small Majorana neutrino masses  
(suppression factor due to lepton number conserved global symmetry broken at some far away time)
- Ankani - Harned et al  
Ankani - Harned and Dimopoulos

Difficult to obtain phenomenologically acceptable parameters

Large enough atmospheric mixing angles  
forces closeness to current experimental bounds on flavour violating  $\mu$  and  $\tau$  decays

Very hierarchical neutrino mass-squared differences were not obtained: LOW and VAC not accommodated

SMA and LMA solutions still viable

## Conclusions

Flavour puzzle addressed through geometry rather than symmetry

Mass matrices with zero textures are favoured

Non equivalence of WB as different choices of WB correspond to different geometries leading to different physics

With only one extra dimension it is possible to obtain correct masses and mixing in quark sector

Yet sufficient CP violation requires two extra dimensions

Leptonic sector:

- fine tuning required for large neutrino mixing
- in one dimension the frame is too thick
- with Dirac masses all solar neutrino solutions can be accommodated
- with Majorana masses the model is very constrained, only SMA and LMA solutions are still viable