

# Thoughts about CP Violation

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# Thoughts about CP Violation

- Violating CP is not easy
- CP Violation and the Scalar Sector
- SUSY and CP-Violation
- What should we look for?
- Concluding remarks

# Violating CP is not easy

- Typically, **under CP**, operators are replaced by their Hermitian adjoints: e.g.

$$W_+^\mu(\mathbf{x}, t) \rightarrow \eta(\mu) W_-^\mu(-\mathbf{x}, t) \quad [\eta(0)=-1; \eta(i)=1]$$

- Schematically, **under CP**:

$$O(\mathbf{x}, t) \rightarrow O^*(-\mathbf{x}, t)$$

- However, **Hermiticity** means that a Lagrangian containing the operator  $O$  has the structure:

$$L = a O + a^* O^*$$

where  $a$  is a c-number.

- Hence, one sees that, **under CP**,  
$$L \rightarrow L \text{ only if } a = a^*$$
- Thus **CP-violation**  $\leftrightarrow$  **T-violation**  
requires having **complex** structures in theory.
- However, this per se may not be sufficient  
e.g. **2-generations SM** has complex Yukawa couplings, but **no physical CP** violating phases appear in the theory.
- Furthermore, in some cases, expected CP violation, actually not seen at all (e.g. **strong CP** problem).

- Noted long ago [ Strominger Witten; Dine, Leigh, MacIntire ] that in 10-dimensional heterotic string theory, CP can be embedded as discrete subgroup of gauge theory

fermions in  $E_8$  adjoint repr.

CP acts as inversion in 6d compact space

- Thus in these theories CP violating effects arise as result of  $10d \rightarrow 4d$  compactification and, in principle, one may be able to compute the resulting 4d CP-violating phases from the underlying geometry.[Abel]

- In 4 dimensions, theory involving **only fermions** and **gauge fields** is CP-conserving [ $g_i \leftrightarrow \text{real}$ ;  $A_a^\mu \leftrightarrow \text{Adjoint}$ ], up to  $\theta$ -terms.
- Topological nature of **non-Abelian gauge theory vacuum** allow presence of CP-violating  $\theta$ -terms. However,

$$L_{Weak} = \mathbf{q}_W \frac{\mathbf{a}_2}{8p} W_a^{mn} \tilde{W}_{mn}^a \quad \theta_W \rightarrow 0 \text{ since } \mathbf{SU}(2) \text{ is chiral theory}$$

$$L_{Strong} = \mathbf{q}_S \frac{\mathbf{a}_3}{8p} G_a^{mn} \tilde{G}_{mn}^a \quad \theta_S < 10^{-10} \text{ since for n} \\ \text{edm} < 6.3 \times 10^{-26} \text{ ecm}$$

- **Strong CP** problem is still unresolved. Four possibilities bruted about:
  - i.  $\theta_S$  just happens to be small [why!!]
  - ii. **u-quark** is massless [unlikely?]
  - iii. There is an extra  $U(1)_{PQ}$  symmetry [axions? Sikivie]
  - iv. CP is **spontaneously broken** and  $\theta_S$  calculably small [troubles with low energy CP violation and cosmology?]
- Whatever the reason for  $\theta_S < 10^{-10}$  clear that **cannot** ascribe **observed CP-violation**, which is connected to **flavor-changing transitions**, to presence of **flavor neutral  $\theta$ -terms**. It must have other origins.

- If there are **no** elementary **scalars**, could imagine formation of **fermion condensates**  $\langle \bar{q}q \rangle \sim e^{id_s}$  or, more likely,  $\langle \bar{T}T \rangle \sim e^{id_{TC}}$  which **break CP spontaneously**.
- However, difficult to reconcile **spontaneous CP breaking** with **cosmology** [**Kobzarev Okun Zeldovich**].
- **Domains** of different CP in Universe separated by walls which **dissipate slowly** as Universe cools.
- Energy density in walls  $r \sim sT$  **exceeds** greatly  $\rho_c$  if  $\sigma \sim v^3$ , with  $v \sim 250$  GeV

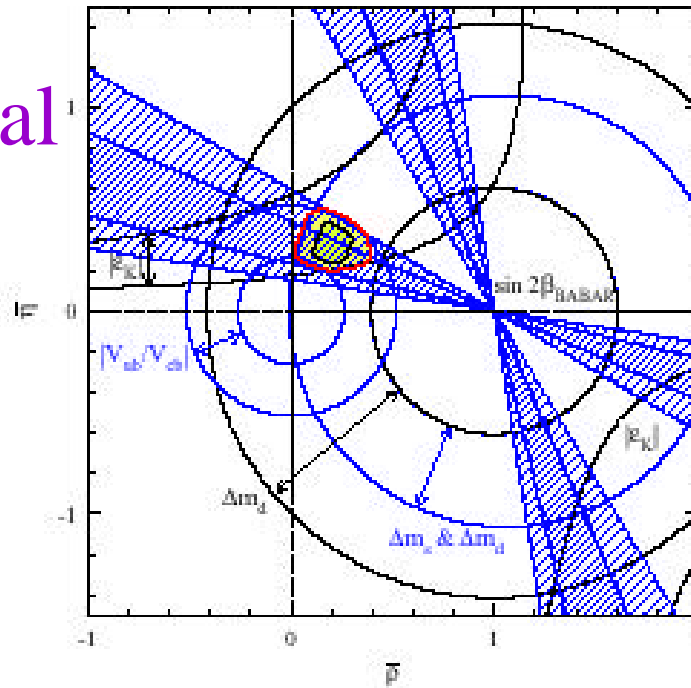


# CP Violation and the Scalar Sector

- Because of the above considerations, it is very natural to assume that the experimentally **observed CP violation** is due to the presence of a **scalar sector**.
- Indeed, all data both in **K** and **B** decays are perfectly consistent with **CP violation** being due to the **CKM paradigm**:**[Buras]**  
**complex Yukawa couplings  $\Rightarrow$  CP violation**,  
if there are 3 or more generations

- It is clearly very important to look for **deviations** from **CKM paradigm**, but data and theory do not permit us still to make any such pronouncement.

A. Hocker et al



CKM fit in  
 $\rho$ - $\eta$  plane

- Look also for CP-violating effects, coming from Higgs sector. However, effects not easy to find!

- SM with **one** Higgs doublet is very special, since **Hermiticity** makes all parameters in Higgs potential real:

$$V = m^2 \mathbf{f}^* \mathbf{f} + \lambda (\mathbf{f}^* \mathbf{f})^2$$

- If there is **more** than one Higgs doublet, potential in general contains possible **CP-violating phases**. However, even then there are constraints.
- Illustrate with **2 Higgs doublet** example:

$$\mathbf{c} = \begin{pmatrix} c^+ \\ c^0 \end{pmatrix} \quad \mathbf{f} = \begin{pmatrix} f^0 \\ f^- \end{pmatrix}$$

- Most **general potential** can be written as sum of terms, reflecting **specific symmetries**

- To understand structure of  $V$ , it is useful to recall that under the weak hypercharge  $U(1)$ :

$$\chi \rightarrow e^{i\xi/2}\chi ; \phi \rightarrow e^{-i\xi/2}\phi$$

- There is a different symmetry,  $U(1)_{PQ}$ , invoked to set  $\theta_S=0$  dynamically. It requires, instead that Higgs fields transform as

$$\chi \rightarrow e^{i\alpha}\chi ; \phi \rightarrow e^{i\alpha}\phi$$

allowing for a **chiral** transformation of the quarks.

- Finally, one can consider a discrete symmetry  $D$ , invoked to **prevent FCNC** phenomena, allowing  $\phi$  to couple only to  $u_R$  and  $\chi$  only to  $d_R$  :

$$\chi \rightarrow -\chi ; \phi \rightarrow \phi ; d_R \rightarrow -d_R ; u_R \rightarrow u_R$$

- The full Higgs potential has 3 pieces:

$$V = V_1 + V_2 + V_3$$

- The 1<sup>st</sup> piece  $V_1$  is  $SU(2) \times U(1) \times U(1)_{PQ} \times D$  invariant; the 2<sup>nd</sup> piece  $V_2$  is  $SU(2) \times U(1) \times D$  invariant; while the 3<sup>rd</sup> piece  $V_3$  is  $SU(2) \times U(1)$  invariant. One has:

$$V_1 = \mathbf{m}_1^2 \mathbf{c} * \mathbf{c} + \mathbf{m}_2^2 \mathbf{f} * \mathbf{f} + \mathbf{l}_1 (\mathbf{c} * \mathbf{c})^2 + \mathbf{l}_2 (\mathbf{f} * \mathbf{f})^2 \\ + \mathbf{l}_3 (\mathbf{f} * \mathbf{c})(\mathbf{c} * \mathbf{f}) + \mathbf{l}_4 (\mathbf{c} * \mathbf{c})(\mathbf{f} * \mathbf{f})$$

$$V_2 = \mathbf{l}_5 e^{id_5} (\mathbf{c}^T \mathbf{C} \mathbf{f})^2 + \mathbf{l}_5 e^{-id_5} (\mathbf{c}^T \mathbf{C} \mathbf{f})^{*2}$$

$$V_3 = \mathbf{m}_{12} e^{id_{12}} \mathbf{c}^T \mathbf{C} \mathbf{f} + \mathbf{m}_{12} e^{-id_{12}} (\mathbf{c}^T \mathbf{C} \mathbf{f})^* + \left[ \mathbf{l}_6 e^{id_6} (\mathbf{c}^T \mathbf{C} \mathbf{f}) + \mathbf{l}_6 e^{-id_6} (\mathbf{c}^T \mathbf{C} \mathbf{f})^* \right] (\mathbf{c} * \mathbf{c}) \\ + \left[ \mathbf{l}_7 e^{id_7} (\mathbf{c}^T \mathbf{C} \mathbf{f}) + \mathbf{l}_7 e^{-id_7} (\mathbf{c}^T \mathbf{C} \mathbf{f})^* \right] (\mathbf{f} * \mathbf{f})$$

- See that, if one asks that  $V$  be just  $SU(2) \times U(1)$  invariant, the Higgs potential  $V = V_1 + V_2 + V_3$  contains 4 phases:  $\delta_5; \delta_{12}; \delta_6; \delta_7$
- However, all of these phases, and associated interactions, are **absent** if  $U(1)_{PQ}$  is a good symmetry.
- If only  $D$  is present, one additional Higgs phase  $\delta_5$  appears in potential. But, this phase gives **no physical effects** [Branco Lavoura Silva]
- Phase  $\delta_5$  correlated with phase of Higgs VEV  $\theta$  :
 
$$\langle \chi^0 \rangle = v_\chi ; \langle \phi^0 \rangle = v_\phi e^{i\theta}$$
- Minimization of Higgs potential  $V = V_1 + V_2$  requires that:  $\sin(\delta_5 + 2\theta) = 0$ .

- Easy to check that all **CP-violating** phenomena, like e.g.  $AH^+H^-$  coupling, are proportional to the phase  $(\delta_5 + 2\theta)$ :

$$g_{AH^+H^-} \sim \sin(\delta_5 + 2\theta)$$

- Thus, remarkably, even with **2 Higgs** doublets, the requirement that there be **no FCNC** (i.e. that **D** be a good symmetry) **prevents** the appearance of any other **CP-violating phases**, besides the **CKM phase**
- There are a number of corollaries to this result. For instance, in **invisible axion** models, where  $U(1)_{PQ}$  is broken at a scale  $f \gg v$ , **no** additional low-energy **CP-violation** ensues in Higgs sector.

- In **invisible axion** models, the spontaneous breaking of an  $U(1)_{PQ}$  **invariant** potential

$$[\sigma \rightarrow e^{-2i\alpha} \sigma; \chi \rightarrow e^{i\alpha} \chi; \phi \rightarrow e^{i\alpha} \phi] :$$

$$V_{inv} = k e^{id_a} \mathbf{s}^2 (\mathbf{c}^T C \mathbf{f}) + k e^{-id_a} \mathbf{s}^{*2} (\mathbf{c}^T C \mathbf{f})^*$$

$$\text{with } k \langle \mathbf{s}^2 \rangle = k f^2 \equiv \mathbf{m}_a^2 \sim v^2$$

serves to give an **additional complex term** beyond  $V_1$  at low energy

- However, also here the phase  $\delta_a$ , like  $\delta_5$ , never gives rise to any physical CP violating effects.
- It is, of course possible, to get **Higgs sector CP violating effects**, by complicating the theory. The simplest case introduces an additional **singlet  $\eta$**



- Keeping  $D$  as a good symmetry, but **not** imposing  $U(1)_{PQ}$  (so that  $V = V_1 + V_2$ ), it is possible to have a **Higgs sector CP violation** through the introduction of an **extra singlet** scalar field  $\eta$ , provided that under  $D$ ,  $\eta \rightarrow -\eta$ .
- Then can add to  $V$  a, **D-invariant**, potential term:  

$$V_4 = \mu e^{i\delta_4} \eta (\chi^T C \phi) + \mu e^{-i\delta_4} \eta (\chi^T C \phi)^*$$
- If  $\eta$  acquires a **VEV**,  $\langle \eta \rangle$ , then out of the 3 phases:  $\delta_4$ ;  $\delta_5$ ; and  $\theta$  one linear combination gives **physical CP-violating effects**.
- However,  $\langle \eta \rangle \neq 0$ , causes again **domain walls** to **form**, so not clear model is sensible cosmologically.

- In general, however, if one introduces a sufficiently **complicated Higgs sector** eventually it is possible to have some **nontrivial CP-violating phases**.
- Good example is **Weinberg's 3 Higgs doublet** model in which there are **CP-violating phases** associated to the couplings of charged Higgs,  $H^\pm$ , to leptons and quarks.
- Such models can give rise to new observable phenomena, like the **transverse  $\mu$  polarization** in  $K^+ \rightarrow \pi^0 \mu^+ \nu_\mu$ . One finds:

$$\langle P_\perp^\mu \rangle \sim (M_K^2/M_H^2) [\text{Im } g_{H\mu\nu} g_{Hus}^*]$$

which is an effect not present in CKM model.

# SUSY and CP-Violation

- Difficult to take multi-Higgs model seriously, since there is **no physical motivation** for these theories.
- In this respect, **SUSY extensions** of the SM have a much better pedigree. **CP-violation** in these models, however, is largely a function of the **assumed SUSY symmetry breaking** pattern.
- **SUSY SM** naturally has **2** Higgs doublets,  $\chi$  and  $\phi$ , and  $V=V_1$ , with parameters taking particular values.

- Without SUSY breaking,  $V$  also does not break  $SU(2) \times U(1)$ . So need soft SUSY breaking
- In simplest example: SUSY breaking is gravity mediated and is flavor blind. In this case, CP-violating phases appear in:
  - gluino masses:  $m_{1/2} \lambda_i \lambda_i$
  - scalar Yukawa int.:  $A \Gamma_u \tilde{Q}_L \tilde{f} \tilde{u}_R + A \Gamma_d \tilde{Q}_L \tilde{c} \tilde{d}_R + h.c.$
  - bilinear scalar terms:  $B \mu (\chi^T C \varphi) + h.c.$
  - Higgsino mass term:  $m (\tilde{c}^T C \tilde{f})$
- Only 2 of these phases are physical. For example,  $B \mu \equiv \mu_{12} e^{i\delta_{12}}$ , and know this phase is not physical

- Difficulty is **not** in generating CP-violating interactions, but in keeping the SUSY induced effects **below** what is presently observed.
- Two types of constraints:
  - i. **Flavor preserving** CP violation phenomena, like **neutron edm**. Typically, [**Dugan Grinstein Hall**] one finds
 
$$d_n \sim \left[ 300 \left( \frac{100 \text{ GeV}}{\tilde{m}} \right)^2 \sin \phi_{A,B} \right] 6.3 \times 10^{-26} \text{ ecm}$$
 where  $\tilde{m}$  is a typical spartner mass and  $\phi_{A,B}$  are CP-violating phases in the SUSY breaking terms
  - ii. **Flavor violating** contributions due to SUSY matter entering in loops. These may, or may not, involve CP violation

• **SUSY CP violating** effects in the flavor sector depend, in general, on how one assumes the **SUSY induced flavor violating** effects are controlled [**Dine Kramer Nir Shadmi**]:

**Universality**-  $\Delta \tilde{m}^2 \ll \tilde{m}^2$

**Alignment**-  $g_{\tilde{g}ij} \sim \mathbf{d}_{ij}$

**Heavy squarks**-  $\tilde{m} \gg \text{TeV}$

• Only in middle case effects are likely to be measurable, although predictions are rather model dependent e.g. **Masiero Piai Ives** model with  $\delta_{\text{CKM}} = 0$  produces  $\epsilon_K$  from **phase in quark-squark mixing**, but then has a resulting **small** CP asymmetry for  $B \rightarrow \psi K$

- Because all data is in good agreement with **CKM model**, at the moment all one has are **constraints** on **squark mass splittings** and on mixing angles in the **gluino couplings** [**Abel**]

$$\Delta_{ij} = \left( \frac{\tilde{m}_i^2 - \tilde{m}_j^2}{\tilde{m}^2} \right) g_{\tilde{g}ij}$$

- Typically, results of a recent analysis [**Becirevic et al**] give for the **B-sector**

$$\text{Re } \Delta_{13} \approx 2 \times 10^{-2}$$

$$\text{Im } \Delta_{13} \approx 10^{-2}$$

# What should we look for?

- Clearly important to pin down the **unitarity triangle**, to check the consistency of all **flavor-changing CP-violation** originating solely from single CKM phase  $\delta_{\text{CKM}}$
- In the context of the **CKM model**, measurement of **sides** of the triangle is as important as measurement of **angles**.
- In general, however, what is important is to **discover evidence** for **additional CP-violating phases**.
- Perhaps most **direct signal** of CP-violation are **Higgs bosons** with **mixed CP** properties.



- Neutral scalars in SUSY models, at **tree level** have well defined CP:  $A \leftrightarrow 0^-$ ;  $h, H \leftrightarrow 0^+$ . This may be vitiated by CP-violating **loop effects** involving stops and sbottoms, which mix  $A \leftrightarrow h, H$  [**Pilaftsis**]
- So can look for CP-violating couplings of Higgs, like:

$$L = \frac{\mathbf{a}}{\mathbf{p}} h \left[ a F^{m\bar{m}} F_{m\bar{m}} + b F^{m\bar{m}} \tilde{F}_{m\bar{m}} \right]$$

- In practice, difficult to measure CP-odd pieces with much accuracy at **LHC**. However, **NLC** is better. Typically one is sensitive to mixing at the level of [**Conway et al**]:

$$\mathbf{LHC}: \eta \sim b/a \sim 30\%; \quad \mathbf{NLC}: \eta \sim b/a \sim 4\%;$$

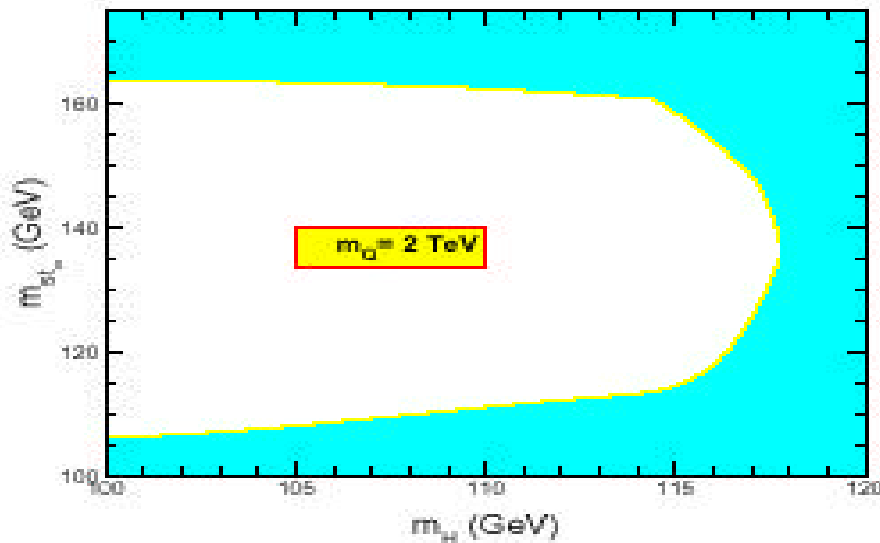
- Are there **other hints** of where to look for?

• We know from the Universe's baryon asymmetry that there are other CP-violating phases, besides  $\delta_{\text{CKM}}$

• Barely possible that *asymmetry* is connected to *SUSY CP-violating* phases at the weak scale.

However, parameter space is rather limited:

light stop;  $m_h$  at edge of discovery



Quiros

- More likely, and more intriguing, is that the CP phase responsible for the **baryon asymmetry** is connected to **phases in the neutrino sector** [Fukugita Yanagida]:
- Out of equilibrium decays of heavy **Majorana neutrinos** ( $M \sim 10^{10}$  GeV) establishes a **lepton asymmetry** at  $T \sim M$ . **KRS mechanism** transmutes this asymmetry into a **baryon asymmetry**
- Even then, **difficult** to relate directly the **baryon asymmetry CP phase** to possible low energy CP violating phenomena in **the neutrino sector** [Hambye; Hernandez]

- There are two places where, conceivably, can extract information on CP violation in neutrino sector:  $\nu$ -oscillations;  $(0\nu \beta \beta)$  decay
- Processes are in some sense complementary, and sensitive to different CP-violating phases :

$$\nu\text{-oscillations} \quad \longleftrightarrow \quad \delta_{\text{CKM}}^1$$

$$(0\nu \beta \beta) \text{ decay} \quad \longleftrightarrow \quad \varphi_M$$

- Oscillations can provide direct evidence for CP violation, while double  $\beta$ -decay give more indirect information. In both cases experimental challenges are enormous. [Blondel]

- For **oscillation** experiments to successfully detect CP-violation  $\theta_{13}$  must be near **Chooz bound** [ $\sin^2 2\theta_{13} < 0.1$ ] and one has to be able to see differences between  $\mathbf{n}$  and  $\bar{\mathbf{n}}$  oscillations:

$$P[\mathbf{n}_m \rightarrow \mathbf{n}_e] = a + b \sin 2\mathbf{q}_{13} \sin \mathbf{d}_{CKM}^l$$

$$P[\bar{\mathbf{n}}_m \rightarrow \bar{\mathbf{n}}_e] = a - b \sin 2\mathbf{q}_{13} \sin \mathbf{d}_{CKM}^l$$

- For **double  $\beta$ -decay**, neglecting  $\theta_{13}$  and assuming a normal hierarchy, [**Petcov**]

$$M_{ee} = m_e \left| \cos^2 \mathbf{q}_{12} + \sin^2 \mathbf{q}_{12} e^{ij_M} \right|$$

- Theoretical error in  $M_{ee}$  makes extraction of  $\varphi_M$  very difficult [ **Barger et al** ]

- Unfortunately, neither  $\varphi_M$  nor  $\delta_{\text{CKM}}^l$  are directly related to the CP-phases that control leptogenesis, except for particular circumstances:

- The baryon asymmetry is given by the formula

$$\eta_B = -8/15 \eta_L = -8/15 [\kappa/g^*] \epsilon$$

Here  $\kappa$  is the washout factor,  $g^* \sim 100$  is the number of degrees of freedom and  $\epsilon$  is the CP asymmetry in the decay of the heavy neutrino. [Berezghiani]

- In particular  $\epsilon$  is connected to neutrino Yukawa coupling  $h_\nu$ , coupling  $L_L$  to  $N_R$ , while  $\delta_{\text{CKM}}^l$  and  $\varphi_M$  also depend on the electron Yukawa coupling  $h_1$ , coupling  $L_L$  to  $l_R$ .

# Concluding remarks

- Most important task is to get **additional experimental information** on CP-violation.
- Prospects of this are very good: **B factories**, **dedicated collider B-experiments**,  **$K \rightarrow \pi \nu \nu$** , **edm searches**, **searches for  $\nu$  CP-violation**.
- Very important to understand if simple **CKM paradigm** explains **all** CP violation phenomena in the hadronic sector and if there is **any** signal of CP violation in the **leptonic sector**.

- On the **theoretical side**, important to take **hints** regarding **CP violation** seriously:
  - i. CP is **conserved** in  $d=10$ , but **broken** in  $d=4$ .
  - ii. Even in  $d=4$ , **difficult** to break CP: **no strong CP**; **need scalar sector**; **no FCNC**  $\Rightarrow$  **no Higgs phases**; **must strictly control SUSY breaking**.
  - iii. Phenomena of quite **different magnitudes** are explained by **same large CP-violating CKM phase**:  $\epsilon \sim 10^{-3}$ ;  $\epsilon'/\epsilon \sim 10^{-3}$ ;  $a_{B \rightarrow \psi K_s} \sim 1$ .
- Could it be that **all CP-violation** originates from simple **geometrical phase**? [**Abel**]
- My guess:  $\delta_0 = \pi/N_{\text{gen}}$ . Amusing  $\delta_{\text{CKM}} = (59 \pm 13)^\circ$