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# **Electroweak baryon number violation**

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### 1. INTRODUCTION

Conditions for baryogenesis [Sakharov, 1967]:

1.	C and CP violation	Yes
2.	Thermal nonequilibrium	Yes
3.	Baryon number (B) violation	?

Strictly speaking, we know of only one physical theory that is expected to have B violation:

the electroweak Standard Model (EWSM).

[Side remark: the *ultimate* fate of black holes is uncertain.]

But the relevant physical processes of the EWSM are only known at

 $T \ll M_W \approx 10^2 \text{ GeV}$ 

and their rate is negligible,

 $\Gamma \propto \exp[-4\pi \sin^2 \theta_w / \alpha] \approx 0$ .

Clearly, we should study electroweak baryon number violation for the conditions of the early universe,

 $T\gtrsim 10^2~{\rm GeV}$  .

This is a difficult problem, but entirely well-posed.

In this talk, we focus on the <u>fundamental physics</u>, i.e., the microscopic processes.

That is, we must really deal with the <u>fermions</u>.

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#### 2. OLD RESULTS

Consider SU(2) Yang–Mills–Higgs theory with vanishing Yukawa couplings. Actually, forget about the Higgs, which should be reasonable above the EW phase transition.

Triangle anomaly in the AAA-diagram, provided the VVVdiagram is anomaly-free [ABJ69].

[Side remark: this is Feynman perturbation theory.]

The gauge vertices of the EWSM are V–A and must be nonanomalous (gauge invariance is needed for unitarity). Instead, the B + L current is anomalous [H76]:



In the  $A_0 = 0$  gauge, have Chern–Simons number

$$N_{\rm CS}(t) = N_{\rm CS}[\vec{A}(\vec{x}, t)]$$

and

$$\Delta N_{\rm CS} \equiv N_{\rm CS}(t_{\rm out}) - N_{\rm CS}(t_{\rm in}) \; .$$



Figure 1: Potential energy surface over configuration space.

't Hooft (1976) calculated the tunneling amplitude.

The BPST instanton, which is a finite action solution of the imaginary-time theory (Euclidean spacetime), gives

$$\Delta N_{\mathsf{CS}} = Q[A_{\mathsf{finite action}}] \in \mathbb{Z} ,$$

where the topological charge Q is the winding number of the map

$$S^3 \big|_{|x|=\infty} \to SU(2) \sim S^3$$
.

This holds <u>only</u> for transitions from near-vacuum to near-vacuum, i.e., at very low temperatures or energies. As mentioned above, the rate is then effectively zero, but, at least,  $\Delta(B + L)$  is integer.

### 3. BIG QUESTION

For <u>real-time</u> processes (e.g., in Minkowski spacetime), the topological charge Q is, in general, noninteger.

Hence, the question

 $\Delta(B+L) \propto$  which gauge field characteristic ??

In the following, we consider pure SU(2) Yang–Mills theory with a single isodoublet of left-handed fermions.

(The fermion number B + L of the EWSM follows by multiplying with  $2 N_{\text{fam.}}$ )

Also, the gauge fields will be called <u>dissipative</u> if their energy density approaches zero uniformly as  $t \to \pm \infty$ .

#### 4. NEW RESULTS

Start from the eigenvalue equation of the time-dependent Dirac Hamiltonian:

$$H(\vec{x},t) \Psi(\vec{x},t) = E(t) \Psi(\vec{x},t) .$$

Then, fermion number violation is related to the spectral flow  ${\mathcal F}$  .

<u>Definition</u>:  $\mathcal{F}[t_f, t_i]$  is the number of eigenvalues of the Dirac Hamiltonian that cross zero from below minus the number of eigenvalues that cross zero from above, for the time interval  $[t_i, t_f]$  with  $t_i < t_f$ .



Figure 2: Spectral flow with  $\mathcal{F}[t_f, t_i] = +1$ .

Strongly dissipative gauge fields have [C80,GH95,K95]:

$$\mathcal{F} = \Delta N_{\text{CS}}[A_{\text{associated vacuum}}] \equiv \Delta N_{\text{winding}}$$
 .

Now consider *spherically symmetric* gauge field solutions due to Lüscher and Schechter (1977). Three cases:

- 1. (low energy)  $\Delta N_{\text{winding}} = 0 \text{ and } \mathcal{F} = 0$ ,
- 2. (moderate energy)  $\Delta N_{\text{winding}} = 1 \text{ and } \mathcal{F} = 1$ ,
- 3. (high energy)  $\Delta N_{\text{winding}} = 1 \text{ and } \mathcal{F} = -1$ .

 $\Rightarrow \ \left[ \ \mathcal{F} \neq \Delta N_{\rm winding} \ \right]_{\rm spherically \ symmetric \ fields} \ .$ 

In fact, there is another gauge field characteristic [KL01]:

$$\begin{split} \Delta N_{\rm twist} &= 0 & \mbox{ for case 1 and 2,} \\ \Delta N_{\rm twist} &= -2 & \mbox{ for case 3.} \end{split}$$

 $\Rightarrow \ \left[ \ \mathcal{F} = \Delta N_{\rm winding} + \Delta N_{\rm twist} \ \right]_{\rm spherically symmetric fields} \ .$ 

For weakly dissipative gauge fields, one has thus

$$\Delta(B + L) = 2N_{\text{fam}} \times \left(\Delta N_{\text{CS}} \left[A_{\text{associated vacuum}}\right] + \underline{\text{extra terms}}\right)$$
  
But the "extra terms" are not known in general.

## 5. OUTLOOK

We know of only one physical theory with baryon number violation, the electroweak Standard Model.

Most discussions of electroweak baryogenesis have been based on the <u>'t Hooft selection rule</u>  $\Delta(B+L) \propto \Delta N_{\rm CS}$ .

But this relation has been found to be <u>invalid</u> for gauge field backgrounds that are <u>weakly- (or non-)dissipative</u>. Such fields are, of course, relevant to the physics of the early universe.

At this moment, we have only a partial result for the correct selection rule, namely for <u>spherically symmetric</u> fields.

To generalize this result to <u>arbitrary</u> gauge fields will be difficult, but is absolutely necessary for a serious discussion of electroweak baryon number violation in the early universe.