

Theoretical Aspects of Flavour in the Leptonic Sector

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Where Do We Stand?

- Exciting Time in ν Physics: recent hints/evidences of large θ_{13} from T2K, MINOS, Double Chooz, Daya Bay and RENO
- Latest 3 neutrino global analysis (including recent results from reactor experiments and T2K):

Capozzi, Fogli, Lisi, Marrone, Montanino, Palazzo (2013, updated March 2014)

Parameter	Best fit	1σ range	2σ range	3σ range
$\delta m^2/10^{-5} \text{ eV}^2$ (NH or IH)	7.54	7.32 – 7.80	7.15 – 8.00	6.99 – 8.18
$\sin^2 \theta_{12}/10^{-1}$ (NH or IH)	3.08	2.91 – 3.25	2.75 – 3.42	2.59 – 3.59
$\Delta m^2/10^{-3} \text{ eV}^2$ (NH)	2.43	2.37 – 2.49	2.30 – 2.55	2.23 – 2.61
$\Delta m^2/10^{-3} \text{ eV}^2$ (IH)	2.38	2.32 – 2.44	2.25 – 2.50	2.19 – 2.56
$\sin^2 \theta_{13}/10^{-2}$ (NH)	2.34	2.15 – 2.54	1.95 – 2.74	1.76 – 2.95
$\sin^2 \theta_{13}/10^{-2}$ (IH)	2.40	2.18 – 2.59	1.98 – 2.79	1.78 – 2.98
$\sin^2 \theta_{23}/10^{-1}$ (NH)	4.37	4.14 – 4.70	3.93 – 5.52	3.74 – 6.26
$\sin^2 \theta_{23}/10^{-1}$ (IH)	4.55	4.24 – 5.94	4.00 – 6.20	3.80 – 6.41
δ/π (NH)	1.39	1.12 – 1.77	0.00 – 0.16 \oplus 0.86 – 2.00	—
δ/π (IH)	1.31	0.98 – 1.60	0.00 – 0.02 \oplus 0.70 – 2.00	—

➔ Evidence of $\theta_{13} \neq 0$

➔ hints of $\theta_{23} \neq \pi/4$

➔ expectation of Dirac CP phase δ

➔ no clear preference for hierarchy

➔ Majorana vs Dirac

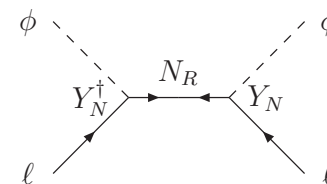
Theoretical Challenges

(i) Absolute mass scale: Why $m_\nu \ll m_{u,d,e}$?

- seesaw mechanism: most appealing scenario \Rightarrow Majorana
- UV completions of Weinberg operators **HHLL**
 - ▶ Type-I seesaw: exchange of singlet fermions

Minkowski, 1977; Yanagida, 1979;
 Glashow, 1979;
 Gell-mann, Ramond, Slansky, 1979;
 Mohapatra, Senjanovic, 1979;

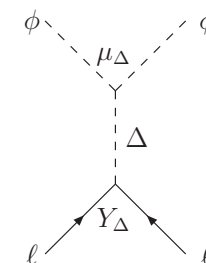
$$N_R: SU(3)_C \times SU(2)_W \times U(1)_Y \\ \sim (1, 1, 0)$$



▶ Type-II seesaw: exchange of weak triplet scalar

Lazarides, 1980; Mohapatra, Senjanovic, 1980

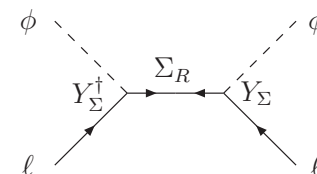
$$\Delta: SU(3)_C \times SU(2)_W \times U(1)_Y \\ \sim (1, 3, 2)$$



▶ Type-III seesaw: exchange of weak triplet fermion

Foot, Lew, He, Joshi, 1989; Ma, 1998

$$\Sigma_R: SU(3)_C \times SU(2)_W \times U(1)_Y \\ \sim (1, 3, 0)$$



Theoretical Challenges

(i) Absolute mass scale: Why $m_\nu \ll m_{u,d,e}$?

- seesaw mechanism: most appealing scenario \Rightarrow Majorana
 - GUT scale (type-I) vs TeV scale (type-II, III, inverse seesaw)
- TeV scale new physics (SUSY, extra dimension, U(1)) \Rightarrow Dirac or Majorana

(ii) Flavor Structure: Why neutrino mixing large while quark mixing small?

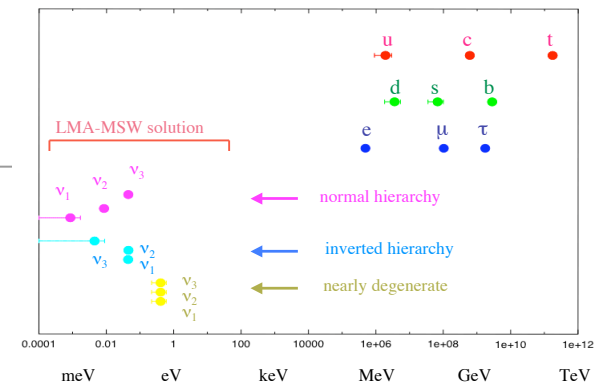
- neutrino anarchy: no parametrically small number Hall, Murayama, Weiner (2000); de Gouvea, Murayama (2003)
 - near degenerate spectrum, large mixing
 - predictions strongly depend on choice of statistical measure
 - still alive and kicking de Gouvea, Murayama (2012)
- family symmetry: there's a structure, expansion parameter (~~symmetry effect~~)
 - mixing result from dynamics of underlying symmetry
 - for leptons only (normal or inverted)
 - for quarks and leptons: quark-lepton connection \leftrightarrow GUT (normal)
- Alternative?

- In this talk: assume 3 generations, no LSND/MiniBoone/Reactor Anomaly
- These scenarios have drastically different predictions
- precision measurements allow for distinguishing models

Origin of Mass Hierarchy and Mixing

- In the SM: 22 physical quantities which seem unrelated
- Question arises whether these quantities can be related
- **No fundamental reason can be found in the framework of SM**
- less ambitious aim \Rightarrow reduce the # of parameters by imposing symmetries
 - **SUSY Grand Unified Gauge Symmetry**
 - GUT relates quarks and leptons: quarks & leptons in same GUT multiplets
 - one set of Yukawa coupling for a given GUT multiplet \Rightarrow intra-family relations
 - seesaw mechanism naturally implemented
 - proton decay, leptogenesis, LFV charged lepton decay
 - **Family Symmetry**
 - relate Yukawa couplings of different families
 - inter-family relations \Rightarrow further reduce the number of parameters

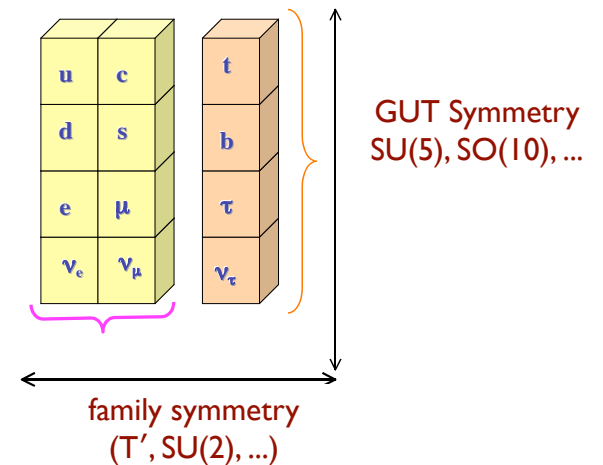
Mass spectrum of elementary particles



\Rightarrow Experimentally testable *correlations* among physical observables

Origin of Flavor Mixing and Mass Hierarchy

- Several models have been constructed based on
 - GUT Symmetry [SU(5), SO(10)] \oplus Family Symmetry G_F
- Family Symmetries G_F based on continuous groups:
 - U(1)
 - SU(2)
 - SU(3)
- Recently, models based on discrete family symmetry groups have been constructed
 - A_4 (tetrahedron)
 - T' (double tetrahedron)
 - S_3 (equilateral triangle)
 - S_4 (octahedron, cube)
 - A_5 (icosahedron, dodecahedron)
 - Δ_{27}
 - Q_4



Motivation: Tri-bimaximal (TBM) neutrino mixing

Tri-bimaximal Neutrino Mixing

- Tri-bimaximal Mixing Pattern

Harrison, Perkins, Scott (1999)

$$U_{TBM} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0 \\ -\sqrt{1/6} & \sqrt{1/3} & -\sqrt{1/2} \\ -\sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2} \end{pmatrix}$$

$$\sin^2 \theta_{\text{atm}, TBM} = 1/2$$

$$\sin^2 \theta_{\odot, TBM} = 1/3$$

$$\sin \theta_{13, TBM} = 0.$$

- General approach:

- PMNS = LO prediction (TBM, BM, ...) + corrections

- corrections:

- { higher order terms in super potential (family symmetry)
 - contributions from charged lepton sector (GUT symmetry)

Non-Abelian Finite Family Symmetry A_4

- TBM mixing matrix: can be realized with finite group family symmetry based on A_4 Ma, Rajasekaran (2001); Babu, Ma, Valle (2003); ...

- A_4 : even permutations of 4 objects

$$S: (1234) \rightarrow (4321)$$

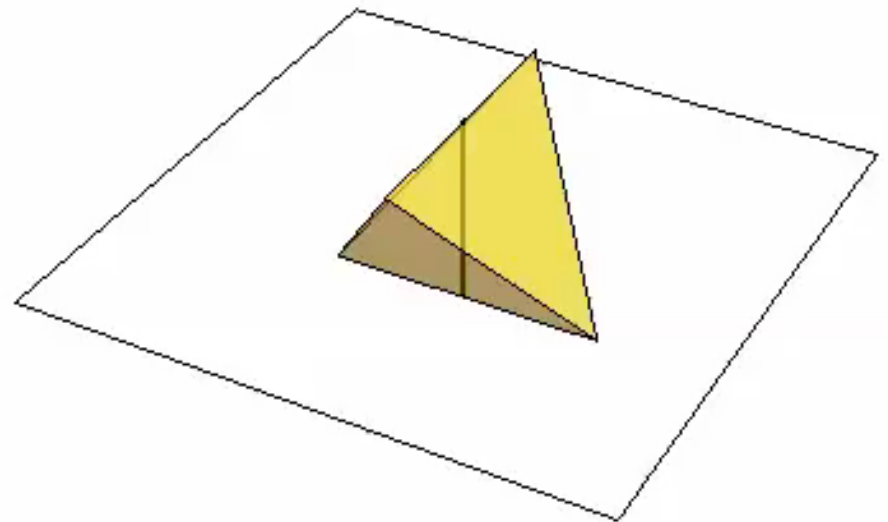
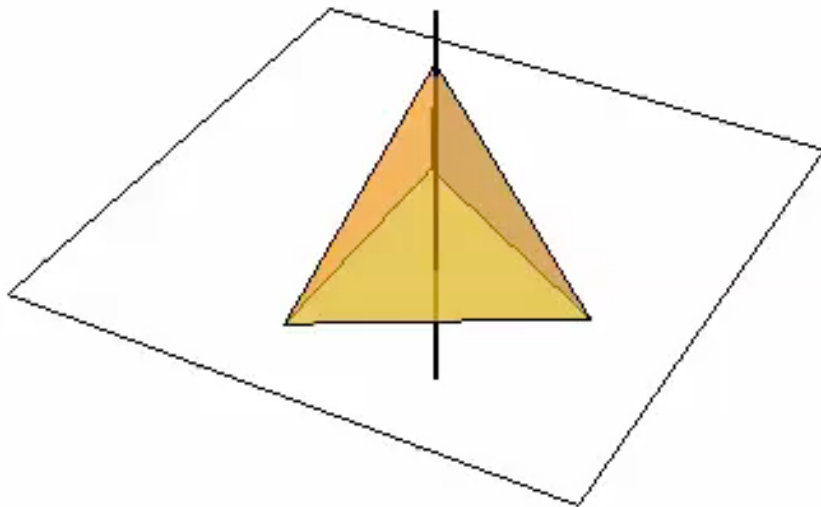
$$T: (1234) \rightarrow (2314)$$

- Group of order 12
- Invariant group of tetrahedron

Invariant Group of Tetrahedron

T: $(1234) \rightarrow (2314)$

S: $(1234) \rightarrow (4321)$



Non-Abelian Finite Family Symmetry A_4

- TBM mixing matrix: can be realized with finite group family symmetry based on A_4

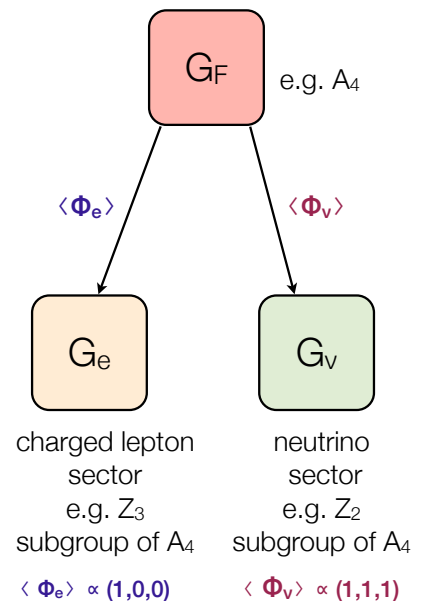
Ma, Rajasekaran (2001); Babu, Ma, Valle (2003); .

- A_4 : even permutations of 4 objects

$$S: (1234) \rightarrow (4321)$$

$$T: (1234) \rightarrow (2314)$$

- Group of order 12
- Invariant group of tetrahedron
- TBM arises due to the misalignment of symmetry breaking patterns
- **Problem:** A_4 does not seem to give rise to quark mixing



Example: T' Family Symmetry

- SU(5) compatibility \Rightarrow Double Tetrahedral Group T' M.-C.C, K.T. Mahanthappa (2007, 2009)
- Symmetries \Rightarrow 9 parameters in Yukawa sector \Rightarrow 22 physical observables
- neutrino mixing angles from group theory (CG coefficients)
- TBM: misalignment of symmetry breaking patterns
 - neutrino sector: $T' \rightarrow G_{TST2}$, charged lepton sector: $T' \rightarrow G_T$
- GUT symmetry \Rightarrow contributions to mixing parameters from charged lepton sector
 - \Rightarrow deviation from TBM related to Cabibbo angle θ_c , consequence of Georgi-Jarlskog relations

relations

$$\theta_{13} \simeq \theta_c / 3\sqrt{2} \quad \leftarrow \text{CG's of SU(5) \& T'}$$

$$\tan^2 \theta_{\odot} \simeq \tan^2 \theta_{\odot, TBM} + \frac{1}{2} \theta_c \cos \delta$$

prediction in 2009 for Dirac CP phase:
 $\delta = 227$ degrees

quark CP phase: $\gamma = 45.6$ degrees

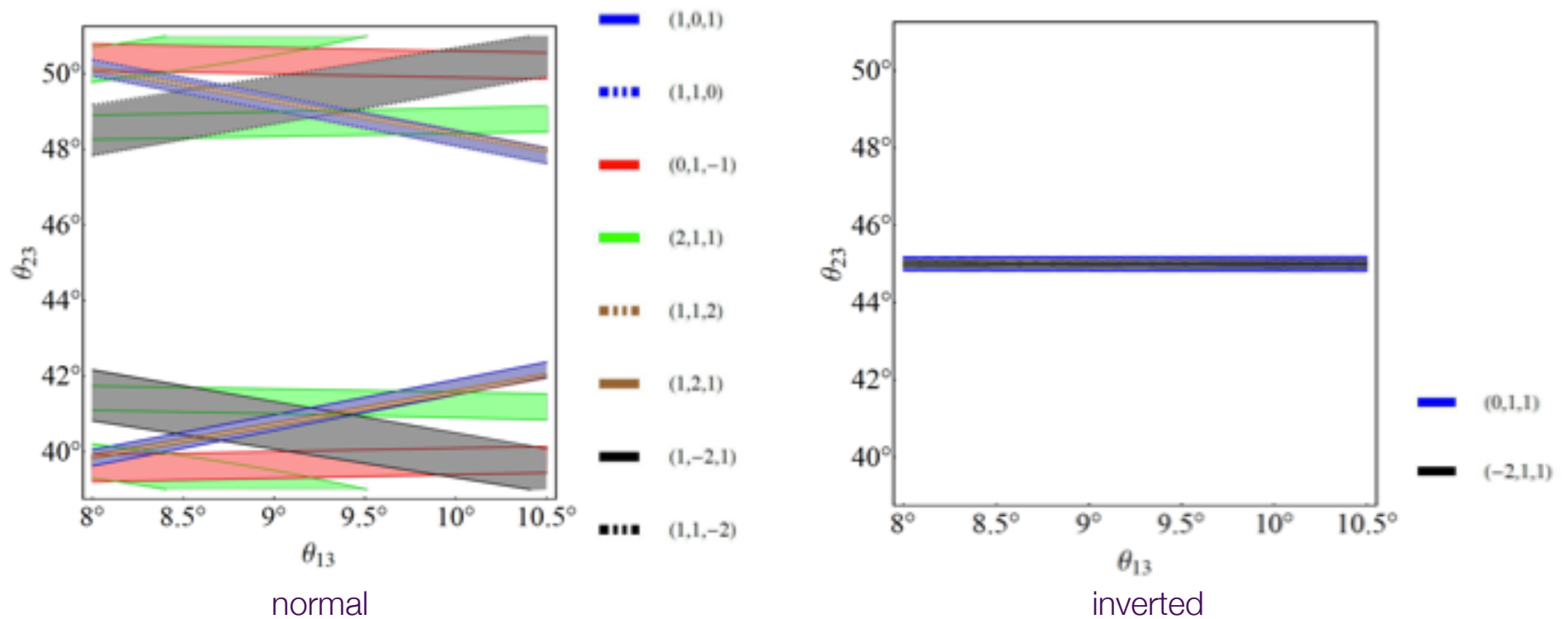
- large θ_{13} possible with one additional singlet flavon

M.-C. C., J. Huang, K.T. Mahanthappa, A. Wijiangco (2013)

“Large” Deviations from TBM in A_4

M.-C.C., J. Huang, J. O’Bryan, A. Wijangco, F. Yu, (2012)

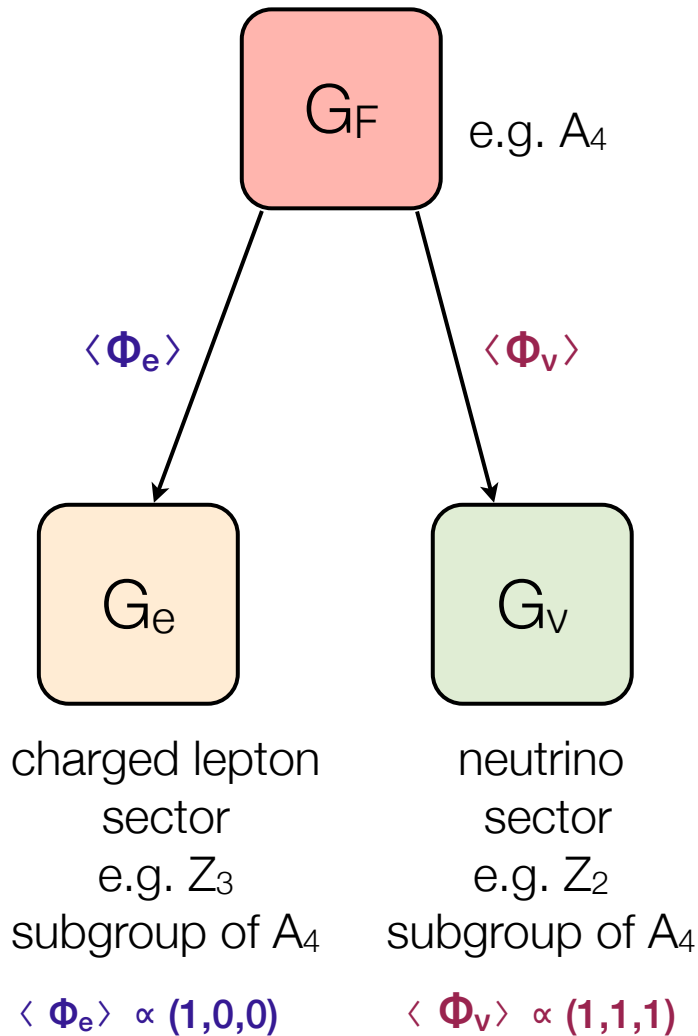
- other A_4 breaking patterns:



deviations correlated

non-maximal $\theta_{23} \Rightarrow$ normal hierarchy

Flavor Model Structure: A_4 Example



- interplay between the symmetry breaking patterns in two sectors lead to lepton mixing (BM, TBM, ...)
- symmetry breaking achieved through flavon VEVs
- each sector preserves different residual symmetry
- full Lagrangian does not have these residual symmetries
- general approach: include high order terms in holomorphic superpotential
- possible to construct models where higher order holomorphic superpotential terms vanish to ALL orders
- quantum correction?
⇒ uncertainty in predictions due to

Kähler corrections

Leurer, Nir, Seiberg (1993); Dudas, Pokorski, Savoy (1995); Dreiner, Thomeier (2003);

Kähler Corrections

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)

- Superpotential: holomorphic

$$\mathcal{W}_{\text{leading}} = \frac{1}{\Lambda} (\Phi_e)_{gf} L^g R^f H_d + \frac{1}{\Lambda \Lambda_\nu} (\Phi_\nu)_{gf} L^g H_u L^f H_u$$

$$\longrightarrow \mathcal{W}_{\text{eff}} = (Y_e)_{gf} L^g R^f H_d + \frac{1}{4} \kappa_{gf} L^g H_u L^f H_u$$

order parameter
<flavon vev> / $\Lambda \sim \theta_c$

- Kähler potential: non-holomorphic

$$K = K_{\text{canonical}} + \Delta K$$

- Canonical Kähler potential

$$K_{\text{canonical}} \supset (L^f)^\dagger \delta_{fg} L^g + (R^f)^\dagger \delta_{fg} R^g$$

- Correction

$$\Delta K = (L^f)^\dagger (\Delta K_L)_{fg} L^g + (R^f)^\dagger (\Delta K_R)_{fg} R^g$$

- can be induced by flavon VEVs
- important for order parameter $\sim \theta_c$
- can lead to non-trivial mixing

Kähler Corrections

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)

- Consider infinitesimal change, x :

$$K = K_{\text{canonical}} + \Delta K = L^\dagger (1 - 2x P) L$$

- rotate to canonically normalized L' :

$$L \rightarrow L' = (1 - x P) L$$

⇒ corrections to neutrino mass matrix

$$m_\nu(x) \simeq m_\nu + x P^T m_\nu + x m_\nu P$$

⇒ differential equation

$$\frac{dm_\nu}{dx} = P^T m_\nu + m_\nu P$$


- same structure as the RG evolutions for neutrino mass operator
- size of Kähler corrections can be substantially larger (no loop suppression)

A₄ Example

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)

- Kähler corrections due to flavon field:
 - ▶ quadratic in flavon

$$\Delta K_{\phi^{(i)}}^{\text{quadratic}} \supset \frac{1}{\Lambda^2} \sum_X^6 \kappa_{\phi^{(i)}, \text{quadratic}}^X (L\phi^{(i)})_X^\dagger (L\phi^{(i)})_X + \text{h.c.}$$


 $(L\Phi_\nu)^\dagger(L\Phi_\nu)$ and $(L\Phi_e)^\dagger(L\Phi_e)$

- ▶ such terms cannot be forbidden by any (conventional) symmetry
- ▶ Kähler corrections once flavon fields attain VEVs
- ▶ additional parameters $\kappa_{\phi^{(i)}}^X$ reduce predictivity of the scheme

Back to A_4 Example

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)

- Contributions from Flavon VEVs $(1,0,0)$ and $(1,1,1)$
 - five independent “basis” matrices

$$P_I = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad P_{II} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad P_{III} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$P_{IV} = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}, \quad P_V = \begin{pmatrix} 0 & i & -i \\ -i & 0 & i \\ i & -i & 0 \end{pmatrix}$$

- RG correction: essentially along $P_{III} = \text{diag}(0,0,1)$ direction due to y_τ dominance
- Kähler corrections can be along different directions than RG

Enhanced θ_{13}

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)

- consider change due to correction along P_V direction
- Kähler metric:

$$\mathcal{K}_L = 1 - 2xP \quad \text{with} \quad P_V = \begin{pmatrix} 0 & i & -i \\ -i & 0 & i \\ i & -i & 0 \end{pmatrix}$$

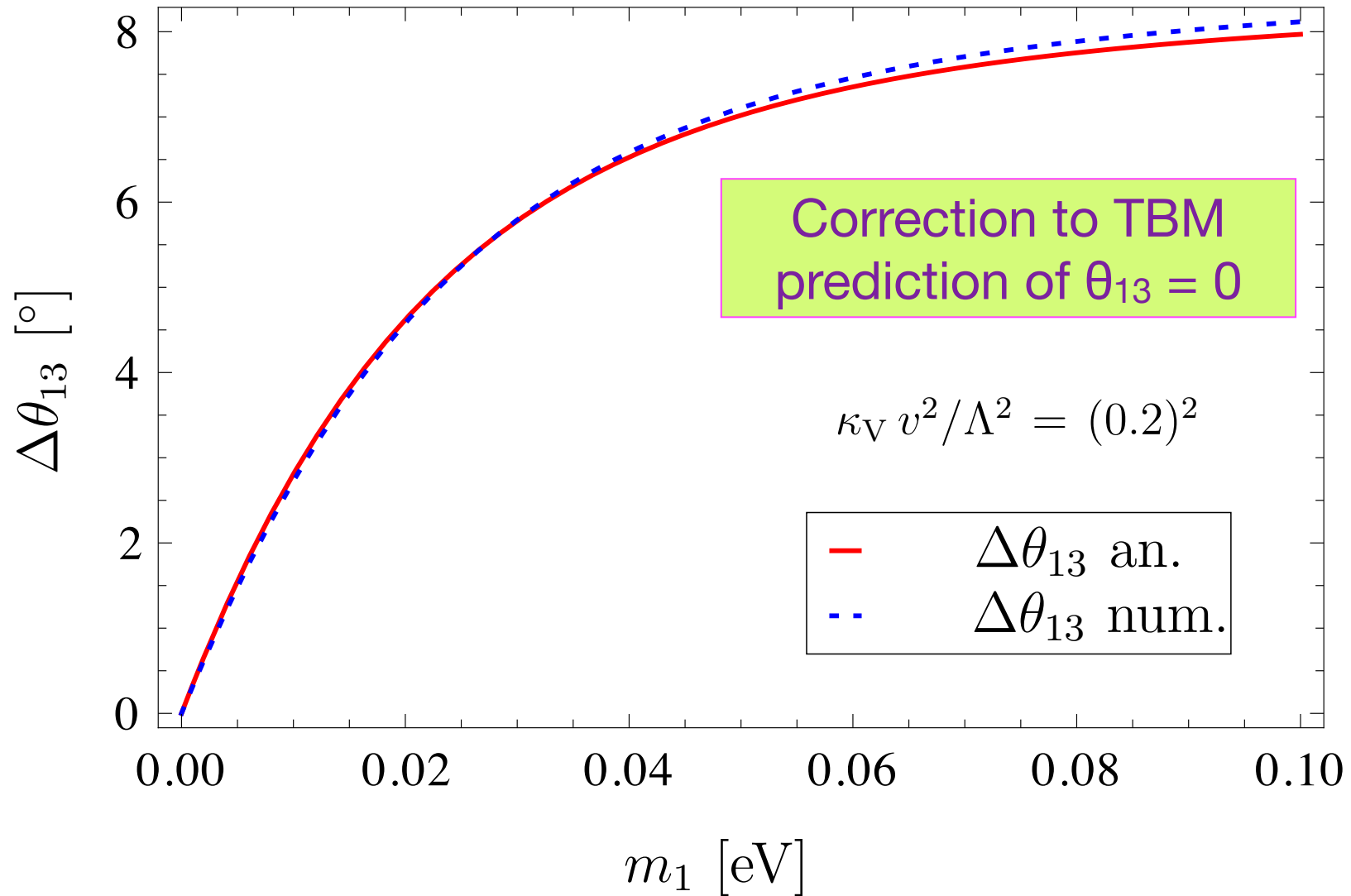
- Contributions of flavon VEV: $\langle \Phi \rangle = (1, 1, 1) v$
- Corrections to the leading order TBM prediction ($m_e \ll m_\mu \ll m_\tau$)

$$\Delta\theta_{13} \simeq \kappa_V \cdot \frac{v^2}{\Lambda^2} \cdot 3\sqrt{6} \frac{m_1}{m_1 + m_3}$$

- Complex matrix $P_V \Rightarrow$ CP violation induced
- for the example considered: $\delta \approx \pi/2$

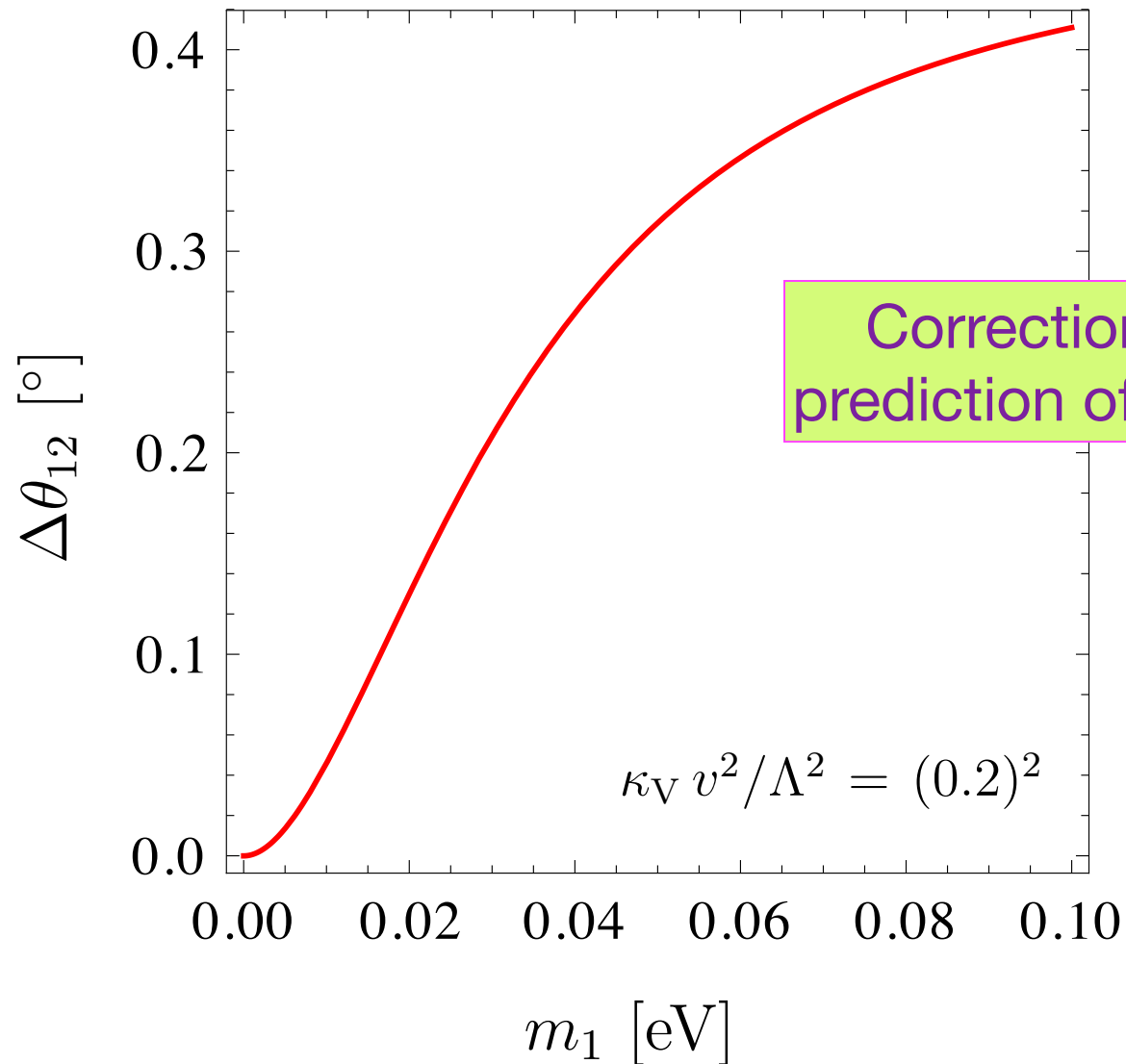
An Example: Enhanced θ_{13}

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)



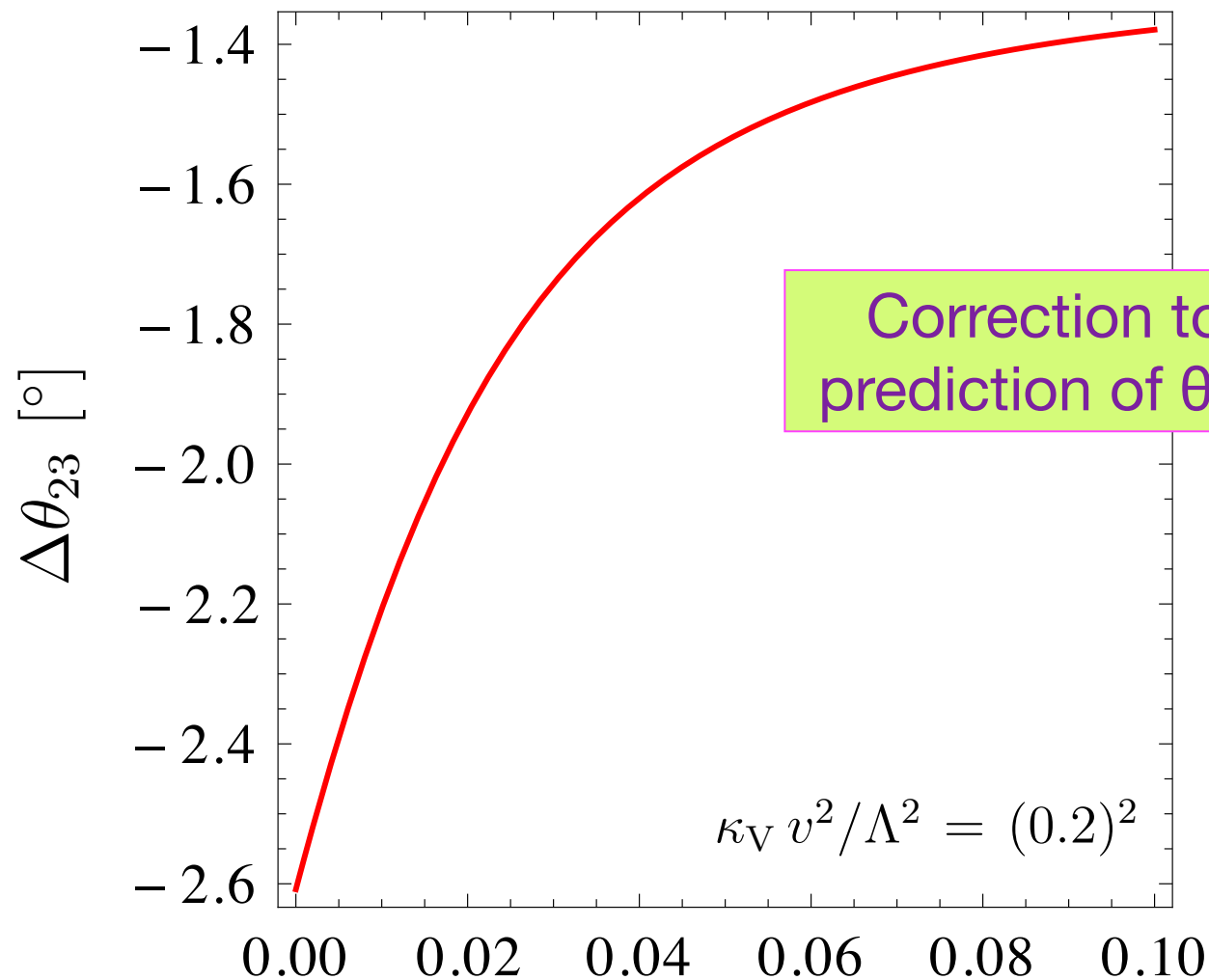
Corresponding Change in θ_{12}

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)



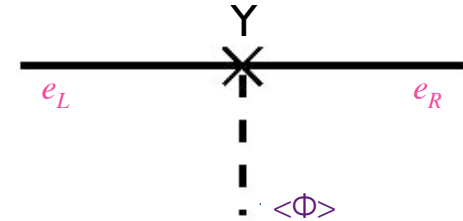
Corresponding Change in θ_{23}

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)



A Novel Origin of CP Violation

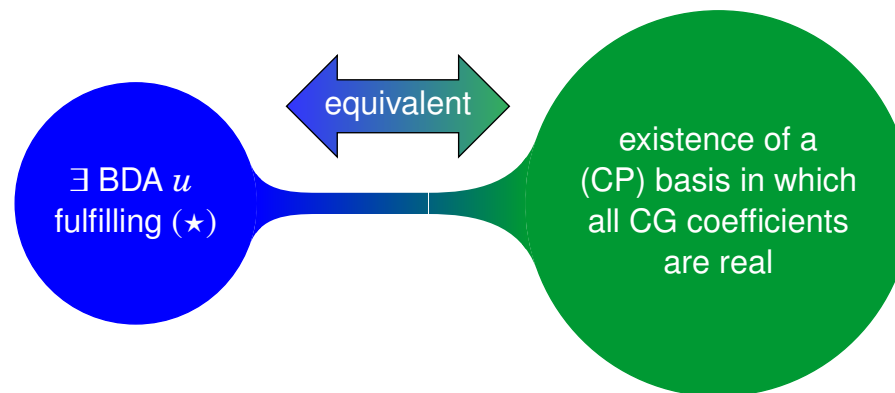
- Conventionally:
 - explicit CP violation: complex Yukawa couplings
 - spontaneous CP violation: complex Higgs VEVs
- Complex CG coefficients in discrete groups \Rightarrow *explicit* CP violation in quark and lepton sectors (e.g. $\delta \neq 0$)



M.-C.C, K.T. Mahanthappa, Phys. Lett. B681, 444 (2009);
 M.-C.C, M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner, Nucl. Phys. B (2014)

- Conditions for a discrete group to admit real CG's Bickerstaff, Damhus, 1985

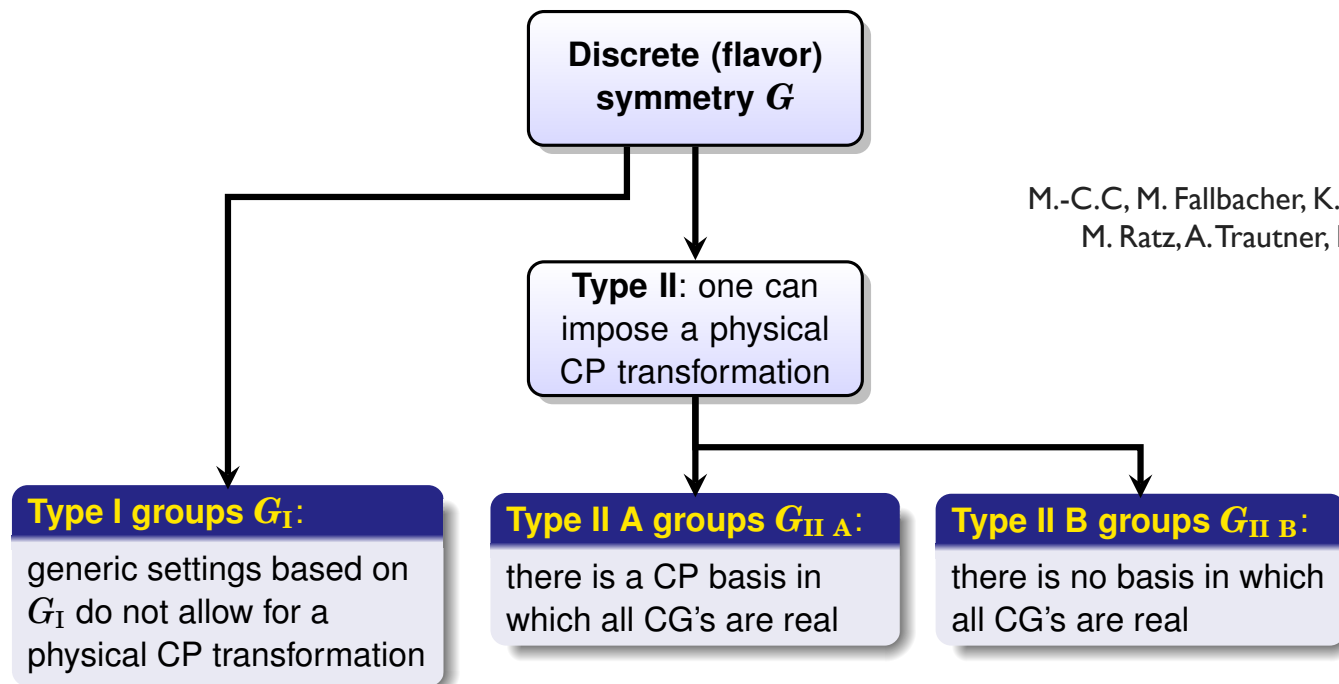
\exists automorphism u , such that $\lambda_k(\mathbf{R}) = \lambda_k(u(\mathbf{R}))^*$ for all $\mathbf{R} \in G$



A Novel Origin of CP Violation

- more generally, for discrete groups that do not have class-inverting, involutory automorphism, CP is generically broken by complex CG coefficients (**Type I Group**)
- Non-existence of such automorphism \Leftrightarrow physical CP violation

CP Violation from Group Theory!



M.-C.C, M. Fallbacher, K.T. Mahanthappa,
M. Ratz, A. Trautner, NPB (2014)

Example for a type I group:

$\Delta(27)$



- decay asymmetry in a toy model
- prediction of CP violating phase from group theory

Toy Model based on $\Delta(27)$

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

• Field content

field	S	X	Y	Ψ	Σ
$\Delta(27)$	$\mathbf{1}_0$	$\mathbf{1}_1$	$\mathbf{1}_3$	$\mathbf{3}$	$\mathbf{3}$
U(1)	$q_\Psi - q_\Sigma$	$q_\Psi - q_\Sigma$	0	q_Ψ	q_Σ

fermions

$q_\Psi - q_\Sigma \neq 0$

• Interactions

$$\begin{aligned}
 \mathcal{L}_{\text{toy}} &= f \left[S_{\mathbf{1}_0} \otimes (\bar{\Psi}\Sigma)_{\mathbf{1}_0} \right]_{\mathbf{1}_0} + g \left[X_{\mathbf{1}_1} \otimes (\bar{\Psi}\Sigma)_{\mathbf{1}_2} \right]_{\mathbf{1}_0} \\
 &\quad + h_\Psi \left[Y_{\mathbf{1}_3} \otimes (\bar{\Psi}\Psi)_{\mathbf{1}_6} \right]_{\mathbf{1}_0} + h_\Sigma \left[Y_{\mathbf{1}_3} \otimes (\bar{\Sigma}\Sigma)_{\mathbf{1}_6} \right]_{\mathbf{1}_0} + \text{h.c.} \\
 &= F^{ij} S \bar{\Psi}_i \Sigma_j + G^{ij} X \bar{\Psi}_i \Sigma_j + H_\Psi^{ij} Y \bar{\Psi}_i \Psi_j + H_\Sigma^{ij} Y \bar{\Sigma}_i \Sigma_j + \text{h.c.}
 \end{aligned}$$

$$F = f \mathbb{1}_3$$

$$G = g \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

$$H_{\Psi/\Sigma} = h_{\Psi/\Sigma} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$$

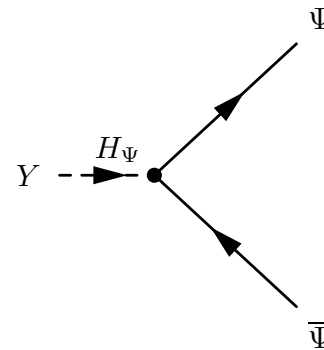
with $\omega := e^{2\pi i/3}$

Toy Model based on $\Delta(27)$

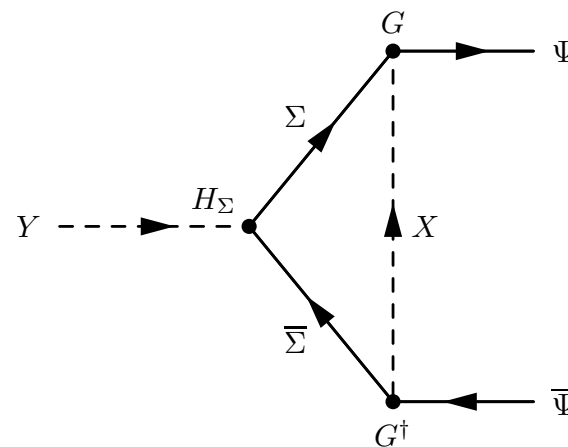
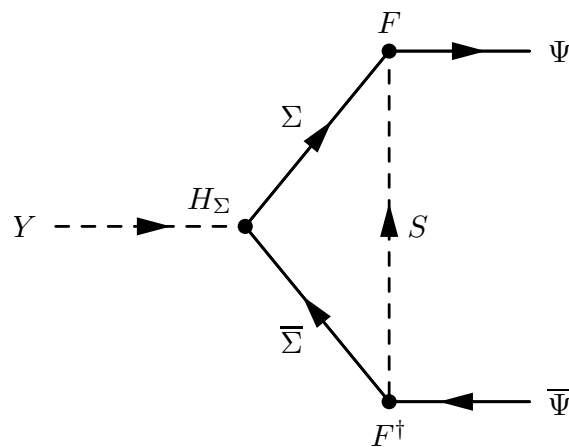
M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- Particle decay $Y \rightarrow \bar{\Psi}\Psi$

interference of



with



Decay Asymmetry

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- Decay asymmetry

$$\varepsilon_{Y \rightarrow \bar{\Psi}\Psi} = |f|^2 \operatorname{Im} [I_S] \operatorname{Im} [h_\Psi h_\Sigma^*] + |g|^2 \operatorname{Im} [I_X] \operatorname{Im} [\omega h_\Psi h_\Sigma^*]$$

- cancellation requires delicate adjustment of relative phase $\varphi := \arg(h_\Psi h_\Sigma^*)$
- for non-degenerate M_S and M_X : $\operatorname{Im} [I_S] \neq \operatorname{Im} [I_X]$
 - phase φ unstable under quantum corrections
- for $\operatorname{Im} [I_S] = \operatorname{Im} [I_X]$ & $|f| = |g|$
 - phase φ stable under quantum corrections
 - relations **cannot** be ensured by outer automorphism of $\Delta(27)$
 - require symmetry larger than $\Delta(27)$

model based on $\Delta(27)$ violates CP!

Spontaneous CP Violation with Calculable CP Phase

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

field	X	Y	Z	Ψ	Σ	ϕ
$\Delta(27)$	$\mathbf{1}_1$	$\mathbf{1}_3$	$\mathbf{1}_8$	$\mathbf{3}$	$\mathbf{3}$	$\mathbf{1}_0$
U(1)	$2q_\Psi$	0	$2q_\Psi$	q_Ψ	$-q_\Psi$	0

\Rightarrow SG(54, 5): $\begin{cases} (X, Z) & : \text{doublet} \\ (\Psi, \Sigma^c) & : \text{hexaplet} \\ \phi & : \text{non-trivial 1-dim. representation} \end{cases}$

\Rightarrow non-trivial $\langle \phi \rangle$ breaks SG(54, 5) \rightarrow $\Delta(27)$

\Rightarrow allowed coupling leads to mass splitting $\mathcal{L}_{\text{toy}}^\phi \supset M^2 (|X|^2 + |Z|^2) + \left[\frac{\mu}{\sqrt{2}} \langle \phi \rangle (|X|^2 - |Z|^2) + \text{h.c.} \right]$

\rightarrow CP asymmetry with calculable phases

$$\varepsilon_{Y \rightarrow \bar{\Psi} \Psi} \propto |g|^2 |h_\Psi|^2 \text{Im} [\omega] (\text{Im} [I_X] - \text{Im} [I_Z])$$

phase predicted by group theory

CG coefficient of SG(54, 5)

**Group theoretical origin
of CP violation!**

M.-C.C., K.T. Mahanthappa (2009)

Dirac Neutrino Mass and the μ Term

- Anomaly-free, discrete R-symmetries in MSSM:

M.-C. C., Michael Ratz, Christian Staudt,
Patrick Vaudrevange, (2012)

- ▶ absence of perturbative μ term \Rightarrow constraints on R charges of H_u, H_d

\rightarrow non-perturbative μ term \sim TeV automatically arise

$$\mu \sim \langle \mathcal{W} \rangle / M_{\text{P}}^2 \sim m_{3/2}$$

- ▶ absence of perturbative Weinberg operator \Rightarrow constraints on R charges of leptons

\rightarrow non-perturbative, realistic Dirac neutrino mass automatically arise

$$Y_\nu \sim \frac{m_{3/2}}{M_{\text{P}}} \sim \frac{\mu}{M_{\text{P}}}$$

- ▶ solutions **automatically** forbid dim-4 proton decay, **automatically** suppress dim-5 proton decay perturbatively in superpotential

Dirac Neutrino Mass and the μ Term

- Search Abelian discrete R symmetries, \mathbb{Z}_M^R that satisfy

M.-C. C., Michael Ratz, Christian Staudt, Patrick Vaudrevange (2012)

- anomaly freedom (a la Green-Schwarz)
- forbidding mu term perturbatively
- consistent with SU(5)
- allowing usual Yukawa couplings
- Weinberg operators forbidden perturbatively



classes of models found

- an example: \mathbb{Z}_8^R symmetry

- ▶ at non-perturbative level $\mathcal{W}_{\text{eff}} \sim m_{3/2} H_u H_d + \frac{m_{3/2}}{M_{\text{P}}} L H_u \bar{\nu} + \frac{m_{3/2}}{M_{\text{P}}^2} Q Q Q L$

- ▶ $\Delta L = 2$ operators forbidden \Rightarrow no neutrinoless double beta decay

- ▶ $\Delta L = 4$ operators allowed \Rightarrow new LNV processes

M.-C. C., Michael Ratz, Christian Staudt, Patrick Vaudrevange (2012)

- A simultaneous solution possible with discrete generation dependent R symmetries (Abelian or non-Abelian!)

M.-C.C., M. Ratz, A. Trautner, JHEP 1309 (2013) 096;

M.-C.C., M. Fallbacher, M. Ratz, G.G. Ross, C. Staudt, V. Takhistov, P. Vaudrevange, under preparation

TeV Scale Seesaw and Non-anomalous U(1)

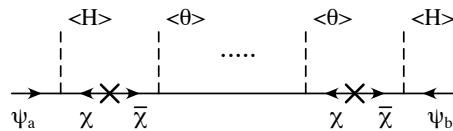
M.-C. C., de Gouvea, Dobrescu (2006)

- SM \times U(1)_{NA} + 3 ν_R : charged under U(1)_{NA} symmetry, broken by $\langle\phi\rangle$
- U(1)_{NA} forbids usual dim-4 Dirac operator and dim-5 Majorana operator

$$m_{LL} \sim \frac{HHLL}{M} \rightarrow M \sim 10^{14} \text{ GeV}$$

- neutrino masses generated by very **high dimensional operators**

$$m_{LL} \sim \left(\frac{\langle\phi\rangle}{M}\right)^p \frac{HHLL}{M} \rightarrow M \sim \text{TeV}, \quad \text{for large } p \quad \frac{\langle\phi\rangle}{M} \sim \text{not too small} \sim 0.1$$



$\Lambda \sim \text{TeV!}$

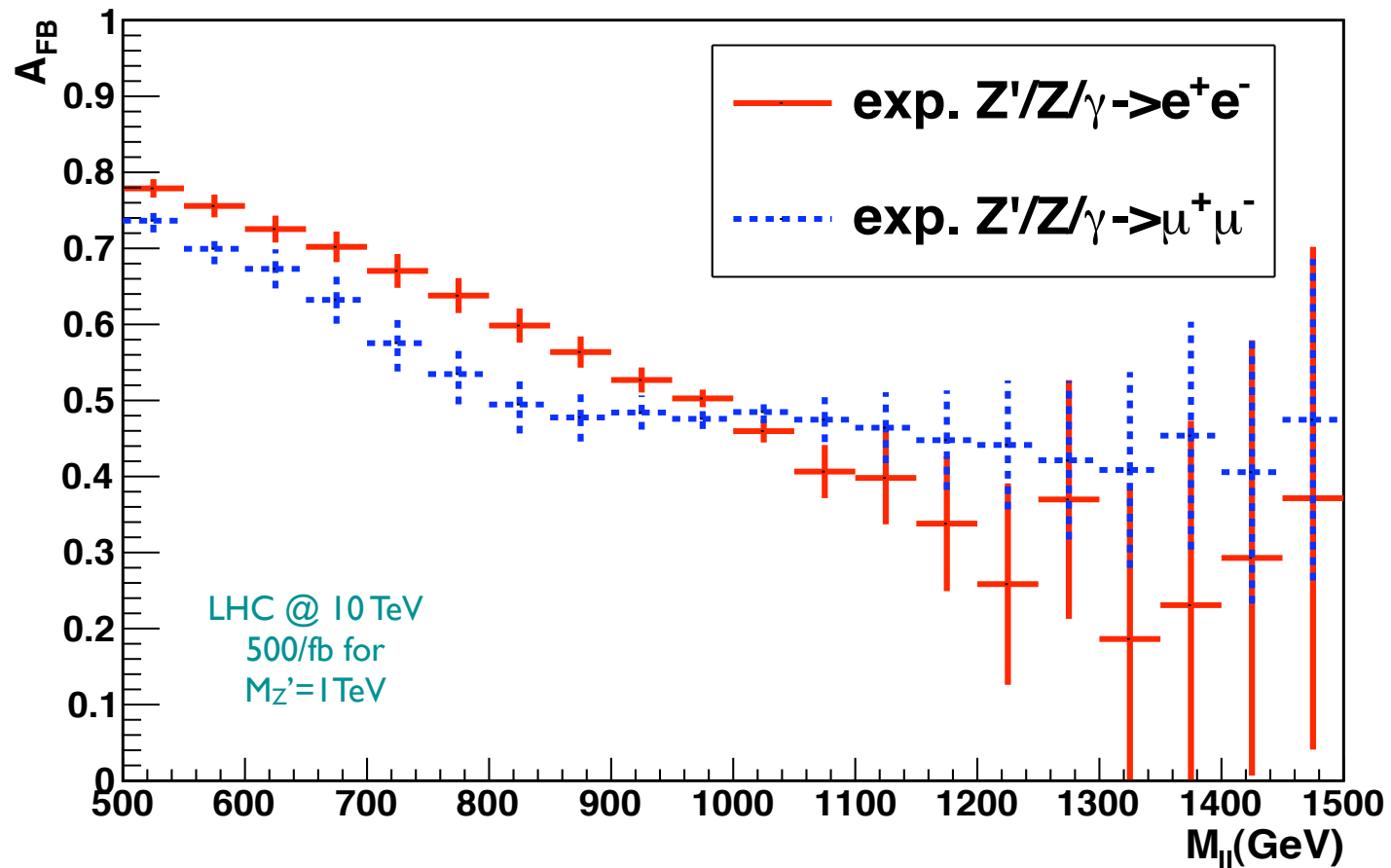
low seesaw scale achieved
with all couplings $\sim \mathcal{O}(1)$

- **anomaly cancellation**: relate generation-dependent fermion charges
 - \Rightarrow predict mass hierarchy and mixing
- TeV cutoff possible with 3 RH neutrinos
- neutrino can either be Dirac or Majorana particles
- light sterile neutrinos: DM candidate
- TeV scale Z' : probing flavor sector at LHC

TeV Scale Seesaw and Non-anomalous U(1)

- Establishing “flavorful” nature of Z' : 5 sigma distinction of e and mu channels

M.-C. C., J.-R. Huang (2009)



Prediction for Sparticle Spectrum

- U(1)' family (for quarks and leptons) also dictates sparticle mass spectrum (once SUSY breaking mechanism is specified)
- U(1)' family suppresses mu term
- predict testable (RG invariant) mass sum rules in Anomaly Mediated SUSY Breaking (AMSB) among sparticles at colliders

M.-C. C., J.-R. Huang (2010)

$$\bar{m}_{Q_i}^2 + \bar{m}_{u_i^c}^2 + \bar{m}_{H_u}^2 = (m_{Q_i}^2 + m_{u_i^c}^2 + m_{H_u}^2)_{AMSB} \quad (i = 1, 2, 3)$$

$$\bar{m}_{Q_i}^2 + \bar{m}_{d_i^c}^2 + \bar{m}_{H_d}^2 = (m_{Q_i}^2 + m_{d_i^c}^2 + m_{H_d}^2)_{AMSB} \quad (i = 1, 2, 3)$$

$$\bar{m}_{L_i}^2 + \bar{m}_{e_i^c}^2 + \bar{m}_{H_d}^2 = (m_{L_i}^2 + m_{e_i^c}^2 + m_{H_d}^2)_{AMSB} \quad (i = 1, 2, 3)$$

functions of gauge couplings, Yukawa couplings and gravitino mass ($m_{3/2}$)

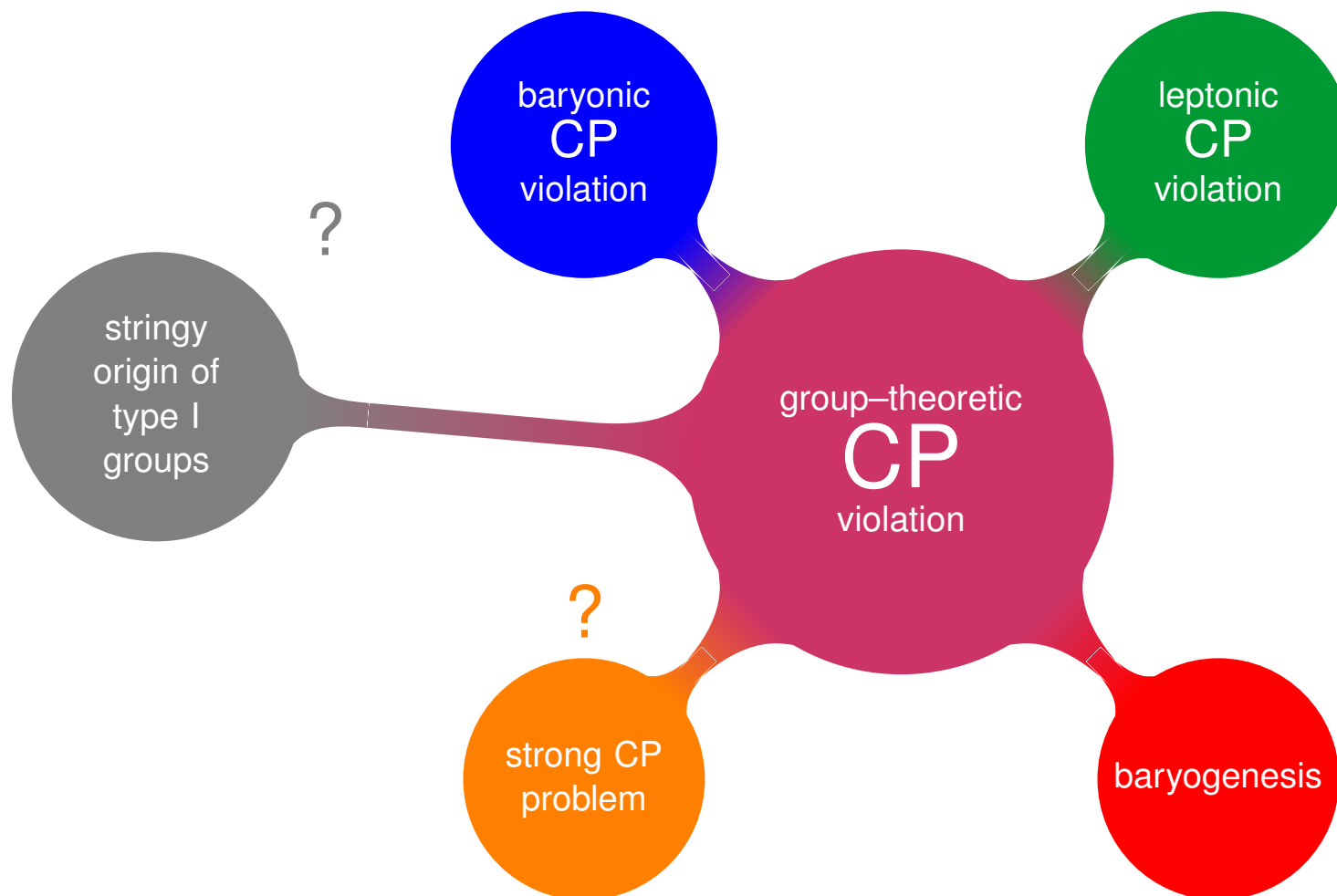
Flavor Physics at the Collider

Summary

- Fundamental origin of fermion mass hierarchy and flavor mixing still not known
- Neutrino masses: evidence of physics beyond the SM
- Symmetries: can provide an understanding of the pattern of fermion masses and mixing
 - Grand unified symmetry + discrete family symmetry \Rightarrow predictive power
 - Symmetries lead to **testable predictions**:
 - interesting leading order sum rules between quark and lepton mixing angles
 - lepton flavor violating charged lepton decays and correlations among these processes
 - proton (nucleon) decay
 - correlations among soft SUSY parameters

Conclusion & Outlook

(Type I) Discrete groups afford a new origin of CP violation:



BACK-UP SLIDES

Predictions: a SUSY SU(5) x T' Model

M.-C.C, K.T. Mahanthappa
 Phys. Lett. B652, 34 (2007); Phys.
 Lett. B681, 444 (2009)

- **Charged Fermion Sector:** 7 parameters \Rightarrow 9 masses, 3 angles, 1 phase

$$\theta_c \simeq \left| \sqrt{m_d/m_s} - e^{i\alpha} \sqrt{m_u/m_c} \right| \sim \sqrt{m_d/m_s},$$

spinorial representations in charged fermion sector \Rightarrow complex CGs
 \Rightarrow CPV in quark and lepton sectors

SU(5) $\Rightarrow M_d = (M_e)^T$
 \Rightarrow corrections to TBM related to θ_c

quark CP phase: $\gamma = 45.6$ degrees

$$\theta_{12}^e \simeq \sqrt{\frac{m_e}{m_\mu}} \simeq \frac{1}{3} \sqrt{\frac{m_d}{m_s}} \sim \frac{1}{3} \theta_c$$

Georgi-Jarlskog relations at GUT scale
 $\Rightarrow V_{d,L} \neq I$

$$m_d \simeq 3m_e \quad m_\mu \simeq 3m_s$$

Predictions: a SUSY SU(5) x T' Model

M.-C.C, K.T. Mahanthappa
 Phys. Lett. B652, 34 (2007);
 Phys. Lett. B681, 444 (2009)

- Neutrino Sector:

(2+1 parameters)

$$M_{RR} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} s_0 \Lambda \quad M_D = \begin{pmatrix} 2\xi_0 + \eta_0 & -\xi_0 & -\xi_0 + \eta_0'' \\ -\xi_0 & 2\xi_0 + \eta_0'' & -\xi_0 + \eta_0 \\ -\xi_0 + \eta_0'' & -\xi_0 + \eta_0 & 2\xi_0 \end{pmatrix} \zeta_0 \zeta_0' v_u$$

- Prediction for MNS matrix: (for $\eta_0'' = 0$)

no adjustable parameters !

$$\tan^2 \theta_{\odot} \simeq \tan^2 \theta_{\odot, TBM} + \frac{1}{2} \theta_c \cos \delta$$

$$\theta_{13} \simeq \theta_c / 3\sqrt{2}$$

CGs of SU(5) & T'

neutrino mixing angle

1/2

quark mixing angle

leptonic Dirac CPV

⇒ connection between leptogenesis & leptonic CPV at low energy

SuperK best fit: $\delta = 220$ degrees @ Neutrino 2010

- sum rule among absolute masses:

$$m_2^2 - m_1^2 = (\eta_0^4 - (3\xi_0 + \eta_0)^4) \frac{(\zeta_0 \zeta_0' v_u)^2}{S_0} > 0$$

$$m_3^2 - m_1^2 = -24\eta_0 \xi_0 (9\xi_0^2 + \eta_0^2) \frac{(\zeta_0 \zeta_0' v_u)^2}{S_0}$$

prediction in 2009 for Dirac CP phase: $\delta = 227$ degrees

normal hierarchy predicted

Kähler Corrections

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)

- Consider infinitesimal change, x :

$$K = K_{\text{canonical}} + \Delta K = L^\dagger (1 - 2x P) L$$

- rotate to canonically normalized L' :

$$L \rightarrow L' = (1 - x P) L$$

⇒ corrections to neutrino mass matrix

$$\begin{aligned} \mathcal{W}_\nu &= \frac{1}{2} (L \cdot H_u)^T \kappa_\nu (L \cdot H_u) \\ &\simeq \frac{1}{2} [(\mathbb{1} + xP)L' \cdot H_u]^T \kappa_\nu [(\mathbb{1} + xP)L' \cdot H_u] \\ &\simeq \frac{1}{2} (L' \cdot H_u)^T \kappa_\nu L' \cdot H_u + x (L' \cdot H_u)^T (P^T \kappa_\nu + \kappa_\nu P) L' \cdot H_u \end{aligned}$$

$$\text{with } \kappa \cdot v_u^2 = 2m_\nu$$

CP Transformation

- Hermiticity of the Lagrangian

$$\mathcal{L}(\vec{x}, t) = \alpha \mathcal{O}(\vec{x}, t) + \alpha^* \mathcal{O}^\dagger(\vec{x}, t)$$

- Under (quantum field theory) CP Transformation

$$\mathcal{O}(\vec{x}, t) \xrightarrow{\mathcal{CP}} \mathcal{O}^\dagger(-\vec{x}, t), \quad \alpha \xrightarrow{\mathcal{CP}} \alpha^*$$

- The Lagrangian

$$-\mathcal{L}_{\text{Yuk}} \supset \bar{U}_{R,i}(M_u)_{ij}Q_{L,j} + \bar{D}_{R,i}(M_d)_{ij}Q_{L,j} + \bar{E}_{R,i}(M_e)_{ij}\ell_{L,j} + h.c.$$

$$(\bar{U}_R M_u Q_L)^\dagger = (U_R^\dagger \gamma_0 M_u Q_L)^\dagger = \bar{Q}_L M_u^\dagger U_R$$

- **CP Violation** \Rightarrow **Complex Mass Matrices**

$$\bar{U}_{R,i}(M_u)_{ij}Q_{L,j} + \bar{Q}_{L,j}(M_u^\dagger)_{ji}U_{R,i} \xrightarrow{\mathcal{CP}} \bar{Q}_{L,j}(M_u)_{ij}U_{R,i} + \bar{U}_{R,i}(M_u)_{ij}^*Q_{L,j}$$

Generalized CP Transformation

👉 setting w/ discrete symmetry G

👉 **generalized** CP transformation

Holthausen, Lindner, and Schmidt (2013)

👉 invariant contraction/coupling in A_4 or T'

$$[\phi_{1_2} \otimes (x_3 \otimes y_3)_{1_1}]_{1_0} \propto \phi (x_1 y_1 + \omega^2 x_2 y_2 + \omega x_3 y_3)$$

$$\omega = e^{2\pi i/3}$$

👉 **canonical CP transformation** maps A_4/T' invariant contraction to something non-invariant

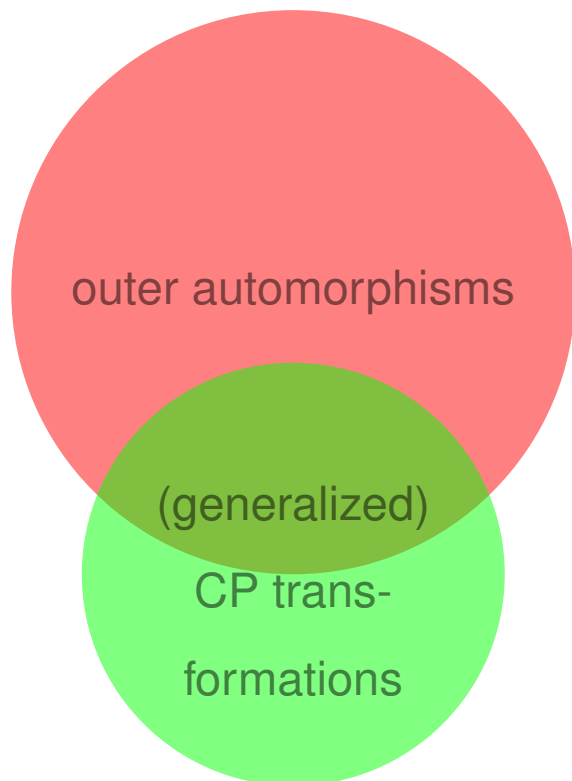
➡ need **generalized CP transformation** \widetilde{CP} : $\phi \xrightarrow{\widetilde{CP}} \phi^*$ as usual but

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \xrightarrow{\widetilde{CP}} \begin{pmatrix} x_1^* \\ x_3^* \\ x_2^* \end{pmatrix} \quad \& \quad \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix} \xrightarrow{\widetilde{CP}} \begin{pmatrix} y_1^* \\ y_3^* \\ y_2^* \end{pmatrix}$$

Physical CP Transformation

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

☞ Not every **outer automorphism** defines a **physical CP transformation**!



proper CP transformations:

class-inverting automorphisms of G

How (Not) to Generalize CP

proper CP transformations

- ☞ map field operators to *their own* Hermitean conjugates
- ☞ violation of **physical CP** is prerequisite for a non-trivial

$$\varepsilon_{i \rightarrow f} = \frac{|\Gamma(i \rightarrow f)|^2 - |\Gamma(\bar{i} \rightarrow \bar{f})|^2}{|\Gamma(i \rightarrow f)|^2 + |\Gamma(\bar{i} \rightarrow \bar{f})|^2}$$

- ➔ connection to observed ~~CP~~, baryogenesis & ...

CP-like transformations

- ☞ map some field operators to some other operators
- ☞ such transformations have sometimes been called “generalized CP transformations” in the literature
- ☞ however, imposing **CP-like transformations** does **not** imply **physical CP conservation**
- ➔ **NO** connection to observed ~~CP~~, baryogenesis & ...

Twisted Frobenius-Schur Indicator

- How can one tell whether or not a given automorphism is a BDA?
- Frobenius-Schur indicator:

$$\mathbf{FS}(\mathbf{r}_i) := \frac{1}{|G|} \sum_{g \in G} \chi_{\mathbf{r}_i}(g^2) = \frac{1}{|G|} \sum_{g \in G} \text{tr} [\rho_{\mathbf{r}_i}(g)^2]$$

$$\mathbf{FS}(\mathbf{r}_i) = \begin{cases} +1, & \text{if } \mathbf{r}_i \text{ is a real representation,} \\ 0, & \text{if } \mathbf{r}_i \text{ is a complex representation,} \\ -1, & \text{if } \mathbf{r}_i \text{ is a pseudo-real representation.} \end{cases}$$

- Twisted Frobenius indicator

Bickerstaff, Damhus (1985); Kawanaka, Matsuyama (1990)

$$\mathbf{FS}_u(\mathbf{r}_i) = \frac{1}{|G|} \sum_{g \in G} [\rho_{\mathbf{r}_i}(g)]_{\alpha\beta} [\rho_{\mathbf{r}_i}(u(g))]_{\beta\alpha}$$

$$\mathbf{FS}_u(\mathbf{r}_i) = \begin{cases} +1 \quad \forall i, & \text{if } u \text{ is a BDA,} \\ +1 \text{ or } -1 \quad \forall i, & \text{if } u \text{ is class-inverting and involutory,} \\ \text{different from } \pm 1, & \text{otherwise.} \end{cases}$$

Examples

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- Type I: all odd order non-Abelian groups

group	$\mathbb{Z}_5 \rtimes \mathbb{Z}_4$	T_7	$\Delta(27)$	$\mathbb{Z}_9 \rtimes \mathbb{Z}_3$
SG	(20,3)	(21,1)	(27,3)	(27,4)

- Type IIA: dihedral and all Abelian groups

group	S_3	Q_8	A_4	$\mathbb{Z}_3 \rtimes \mathbb{Z}_8$	T'	S_4	A_5
SG	(6,1)	(8,4)	(12,3)	(24,1)	(24,3)	(24,12)	(60,5)

- Type IIB

group	$\Sigma(72)$	$((\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_4) \rtimes \mathbb{Z}_4$
SG	(72,41)	(144,120)

Decay Asymmetry

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- Decay asymmetry

$$\begin{aligned}\epsilon_{Y \rightarrow \bar{\Phi}\Phi} &= \frac{\Gamma(Y \rightarrow \bar{\Phi}\Phi) - \Gamma(Y^* \rightarrow \bar{\Phi}\Phi)}{\Gamma(Y \rightarrow \bar{\Phi}\Phi) + \Gamma(Y^* \rightarrow \bar{\Phi}\Phi)} \\ &\propto \text{Im}[I_S] \text{Im}\left[\text{tr}\left(F^\dagger H_\Psi F H_\Sigma^\dagger\right)\right] + \text{Im}[I_X] \text{Im}\left[\text{tr}\left(G^\dagger H_\Psi G H_\Sigma^\dagger\right)\right] \\ &= |f|^2 \text{Im}[I_S] \text{Im}[h_\Psi h_\Sigma^*] + |g|^2 \text{Im}[I_X] \text{Im}[\omega h_\Psi h_\Sigma^*] .\end{aligned}$$

one-loop integral $I_S = I(M_S, M_Y)$

one-loop integral $I_X = I(M_X, M_Y)$

- properties of ϵ

- invariant under rephasing of fields
- independent of phases of f and g
- basis independent

Some Outer Automorphisms of $\Delta(27)$

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

- sample outer automorphisms of $\Delta(27)$

$$u_1 : \mathbf{1}_1 \leftrightarrow \mathbf{1}_2, \mathbf{1}_4 \leftrightarrow \mathbf{1}_5, \mathbf{1}_7 \leftrightarrow \mathbf{1}_8, \mathbf{3} \rightarrow U_{u_1} \mathbf{3}^*$$

$$u_2 : \mathbf{1}_1 \leftrightarrow \mathbf{1}_4, \mathbf{1}_2 \leftrightarrow \mathbf{1}_8, \mathbf{1}_3 \leftrightarrow \mathbf{1}_6, \mathbf{3} \rightarrow U_{u_2} \mathbf{3}^*$$

$$u_3 : \mathbf{1}_1 \leftrightarrow \mathbf{1}_8, \mathbf{1}_2 \leftrightarrow \mathbf{1}_4, \mathbf{1}_5 \leftrightarrow \mathbf{1}_7, \mathbf{3} \rightarrow U_{u_3} \mathbf{3}^*$$

$$u_4 : \mathbf{1}_1 \leftrightarrow \mathbf{1}_7, \mathbf{1}_2 \leftrightarrow \mathbf{1}_5, \mathbf{1}_3 \leftrightarrow \mathbf{1}_6, \mathbf{3} \rightarrow U_{u_4} \mathbf{3}^*$$

$$u_5 : \mathbf{1}_i \leftrightarrow \mathbf{1}_i^*, \mathbf{3} \rightarrow U_{u_5} \mathbf{3}$$

- twisted Frobenius-Schur indicators

\mathbf{R}	$\mathbf{1}_0$	$\mathbf{1}_1$	$\mathbf{1}_2$	$\mathbf{1}_3$	$\mathbf{1}_4$	$\mathbf{1}_5$	$\mathbf{1}_6$	$\mathbf{1}_7$	$\mathbf{1}_8$	$\mathbf{3}$	$\bar{\mathbf{3}}$
$\text{FS}_{u_1}(\mathbf{R})$	1	1	1	0	0	0	0	0	0	1	1
$\text{FS}_{u_2}(\mathbf{R})$	1	0	0	1	0	0	1	0	0	1	1
$\text{FS}_{u_3}(\mathbf{R})$	1	0	0	0	0	1	0	1	0	1	1
$\text{FS}_{u_4}(\mathbf{R})$	1	0	0	1	0	0	1	0	0	1	1
$\text{FS}_{u_5}(\mathbf{R})$	1	1	1	1	1	1	1	1	1	0	0

- none of the u_i maps all representations to their conjugates
- however, it is possible to impose CP in (non-generic) models, where only a subset of representations are present, e.g. $\{\mathbf{r}_i\} \subset \{\mathbf{1}_0, \mathbf{1}_5, \mathbf{1}_7, \mathbf{3}, \bar{\mathbf{3}}\}$

Mechanisms **Naturally** Suppress Neutrino Masses with TeV Scale New Physics

Two examples:

- ▶ TeV scale $U(1)'$ Family Symmetry for quarks and leptons
 - ▶ associated Z' collider phenomenology
- ▶ Discrete R-Symmetry in SUSY
 - ▶ simultaneous solution to μ problem, proton decay problem, naturally suppressed Dirac neutrino mass

before θ_{13} discovery

Sum Rules: Quark-Lepton Complementarity

Quark Mixing

mixing parameters	best fit	3σ range
θ_{23}^q	2.36°	$2.25^\circ - 2.48^\circ$
θ_{12}^q	12.88°	$12.75^\circ - 13.01^\circ$
θ_{13}^q	0.21°	$0.17^\circ - 0.25^\circ$

Lepton Mixing

mixing parameters	best fit	3σ range
θ_{23}^e	42.8°	$35.5^\circ - 53.5^\circ$
θ_{12}^e	34.4°	$31.5^\circ - 37.6^\circ$
θ_{13}^e	5.6°	$\leq 12.5^\circ$

- QLC-I

$$\theta_c + \theta_{\text{sol}} \cong 45^\circ \quad \text{Raidal, '04; Smirnov, Minakata, '04}$$

(BM)

$$\theta_{23}^q + \theta_{23}^e \cong 45^\circ$$

- QLC-II

$$\tan^2 \theta_{\text{sol}} \cong \tan^2 \theta_{\text{sol,TBM}} + (\theta_c / 2) * \cos \delta_e$$

Ferrandis, Pakvasa; King; Dutta, Mimura; M.-C.C., Mahanthappa

(TBM)

$$\theta_{13}^e \cong \theta_c / 3\sqrt{2}$$

- testing sum rules: a *more* robust way to distinguish different classes of models

measuring leptonic mixing parameters to the precision of those in quark sector

after θ_{13} discovery

Sum Rules: Quark-Lepton Complementarity

Quark Mixing

mixing parameters	best fit	3σ range
θ_{23}^q	2.36°	$2.25^\circ - 2.48^\circ$
θ_{12}^q	12.88°	$12.75^\circ - 13.01^\circ$
θ_{13}^q	0.21°	$0.17^\circ - 0.25^\circ$

Lepton Mixing

mixing parameters	best fit	3σ range
θ_{23}^e	38.4° ↓	$35.1^\circ - 52.6^\circ$
θ_{12}^e	33.6°	$30.6^\circ - 36.8^\circ$
θ_{13}^e	8.9° ↑	$7.5^\circ - 10.2^\circ$

- QLC-I

$$\theta_c + \theta_{\text{sol}} \cong 45^\circ$$

Raidal, '04; Smirnov, Minakata, '04

(BM)

$$\theta_{23}^q + \theta_{23}^e \cong 45^\circ$$

☞ **inconsistent @ 2σ**

- QLC-II

$$\tan^2 \theta_{\text{sol}} \cong \tan^2 \theta_{\text{sol,TBM}} + (\theta_c / 2) * \cos \delta_e$$

Ferrandis, Pakvasa; King; Dutta, Mimura; M.-C.C., Mahanthappa

(TBM)

$$\theta_{13}^e \cong \theta_c / 3\sqrt{2}$$

☞ **Too small**

- testing sum rules: a *more* robust way to distinguish different classes of models

measuring leptonic mixing parameters to the precision of those in quark sector