### 26<sup>th</sup> Rencontres de Blois 20 May 2014

# Phenomenology of light sterile vs



Antonio Palazzo MPI für Physik – Munich



### Outline

Beyond three neutrino families Phenomenology of eV sterile vs From eV to sub-eV sterile neutrinos Conclusions

# Beyond three neutrino families

Many extensions of the SM involve sterile neutrinos, i.e. singlets of the SM gauge group

 $v_s$ 's have been investigated at several E scales:

- GUT, see-saw models of v mass, leptogenesis
- TeV, production at LHC and impact on EWPOs
- kev, dark matter candidates
- eV, anomalies in SBL oscillation experiments
  - sub-eV,  $\theta_{13}$  reactors and solar neutrinos

#### "Light v<sub>s</sub>"

# Wide interest in the scientific community

arXiv:1204.5379v1 [hep-ph] 18 Apr 2012

#### Light Sterile Neutrinos: A White Paper

K. N. Abazajian<sup>a</sup>, <sup>1</sup> M. A. Acero, <sup>2</sup> S. K. Agarwalla, <sup>3</sup> A. A. Aguilar-Arevalo, <sup>2</sup> C. H. Albright, <sup>4,5</sup> S. Antusch,<sup>6</sup> C. A. Argüelles,<sup>7</sup> A. B. Balantekin,<sup>8</sup> G. Barenboim<sup>a</sup>,<sup>3</sup> V. Barger,<sup>8</sup> P. Bernardini,<sup>9</sup> F. Bezrukov,<sup>10</sup> O. E. Bjaelde,<sup>11</sup> S. A. Bogacz,<sup>12</sup> N. S. Bowden,<sup>13</sup> A. Boyarsky,<sup>14</sup> A. Bravar,<sup>15</sup> D. Bravo Berguño,<sup>16</sup> S. J. Brice,<sup>5</sup> A. D. Bross,<sup>5</sup> B. Caccianiga,<sup>17</sup> F. Cavanna,<sup>18,19</sup> E. J. Chun,<sup>20</sup> B. T. Cleveland,<sup>21</sup> A. P. Collin,<sup>22</sup> P. Coloma,<sup>16</sup> J. M. Conrad,<sup>23</sup> M. Cribier,<sup>22</sup> A. S. Cucoanes,<sup>24</sup> J. C. D'Olivo,<sup>2</sup> S. Das,<sup>25</sup> A. de Gouvêa,<sup>26</sup> A. V. Derbin,<sup>27</sup> R. Dharmapalan,<sup>28</sup> J. S. Diaz,<sup>29</sup> X. J. Ding,<sup>16</sup> Z. Djurcic,<sup>30</sup> A. Donini,<sup>31,3</sup> D. Duchesneau,<sup>32</sup> H. Ejiri,<sup>33</sup> S. R. Elliott,<sup>34</sup> D. J. Ernst,<sup>35</sup> A. Esmaili,<sup>36</sup> J. J. Evans,<sup>37, 38</sup> E. Fernandez-Martinez,<sup>39</sup> E. Figueroa-Feliciano,<sup>23</sup> B. T. Fleming<sup>a</sup>,<sup>18</sup> J. A. Formaggio<sup>a</sup>,<sup>23</sup> D. Franco,<sup>40</sup> J. Gaffiot,<sup>22</sup> R. Gandhi,<sup>41</sup> Y. Gao,<sup>42</sup> G. T. Garvey,<sup>34</sup> V. N. Gavrin,<sup>43</sup> P. Ghoshal,<sup>41</sup> D. Gibin,<sup>44</sup> C. Giunti,<sup>45</sup> S. N. Gninenko,<sup>43</sup> V. V. Gorbachev,<sup>43</sup> D. S. Gorbunov,<sup>43</sup> R. Guenette,<sup>18</sup> A. Guglielmi,<sup>44</sup> F. Halzen,<sup>46,8</sup> J. Hamann,<sup>11</sup> S. Hannestad,<sup>11</sup> W. Haxton,<sup>47,48</sup> K. M. Heeger,<sup>8</sup> R. Henning,<sup>49,50</sup> P. Hernandez,<sup>3</sup> P. Huber<sup>b</sup>, <sup>16</sup> W. Huelsnitz, <sup>34,51</sup> A. Ianni, <sup>52</sup> T. V. Ibragimova, <sup>43</sup> Y. Karadzhov, <sup>15</sup> G. Karagiorgi, <sup>53</sup> G. Keefer,<sup>13</sup> Y. D. Kim,<sup>54</sup> J. Kopp<sup>a</sup>,<sup>5</sup> V. N. Kornoukhov,<sup>55</sup> A. Kusenko,<sup>56,57</sup> P. Kyberd,<sup>58</sup> P. Langacker,<sup>59</sup> Th. Lasserre<sup>a</sup>,<sup>22,40</sup> M. Laveder,<sup>60</sup> A. Letourneau,<sup>22</sup> D. Lhuillier,<sup>22</sup> Y. F. Li,<sup>61</sup> M. Lindner,<sup>62</sup> J. M. Link<sup>b</sup>,<sup>16</sup> B. L. Littlejohn,<sup>8</sup> P. Lombardi,<sup>17</sup> K. Long,<sup>63</sup> J. Lopez-Pavon,<sup>64</sup> W. C. Louis<sup>a, 34</sup> L. Ludhova,<sup>17</sup> J. D. Lykken,<sup>5</sup> P. A. N. Machado,<sup>65, 66</sup> M. Maltoni,<sup>31</sup> W. A. Mann,<sup>67</sup> D. Marfatia,<sup>68</sup> C. Mariani,<sup>53,16</sup> V. A. Matveev,<sup>43,69</sup> N. E. Mavromatos,<sup>70,39</sup> A. Melchiorri,<sup>71</sup> D. Meloni,<sup>72</sup> O. Mena,<sup>3</sup> G. Mention,<sup>22</sup> A. Merle,<sup>73</sup> E. Meroni,<sup>17</sup> M. Mezzetto,<sup>44</sup> G. B. Mills,<sup>34</sup> D. Minic,<sup>16</sup> L. Miramonti,<sup>17</sup> D. Mohapatra,<sup>16</sup> R. N. Mohapatra,<sup>51</sup> C. Montanari,<sup>74</sup> Y. Mori,<sup>75</sup> Th. A. Mueller,<sup>76</sup> H. P. Mumm,<sup>77</sup> V. Muratova,<sup>27</sup> A. E. Nelson,<sup>78</sup> J. S. Nico,<sup>77</sup> E. Noah,<sup>15</sup> J. Nowak,<sup>79</sup> O. Yu. Smirnov,<sup>69</sup> M. Obolensky,<sup>40</sup> S. Pakvasa,<sup>80</sup> O. Palamara,<sup>18,52</sup> M. Pallavicini,<sup>81</sup> S. Pascoli,<sup>82</sup> L. Patrizii,<sup>83</sup> Z. Pavlovic,<sup>34</sup> O. L. G. Peres,<sup>36</sup> H. Pessard,<sup>32</sup> F. Pietropaolo,<sup>44</sup> M. L. Pitt,<sup>16</sup> M. Popovic,<sup>5</sup> J. Pradler,<sup>84</sup> G. Ranucci,<sup>17</sup> H. Ray,<sup>85</sup> S. Razzaque,<sup>86</sup> B. Rebel,<sup>5</sup> R. G. H. Robertson,<sup>87,78</sup> W. Rodejohann<sup>a</sup>,<sup>62</sup> S. D. Rountree,<sup>16</sup> C. Rubbia,<sup>39,52</sup> O. Ruchayskiy,<sup>39</sup> P. R. Sala,<sup>17</sup> K. Scholberg,<sup>88</sup> T. Schwetz<sup>a</sup>,<sup>62</sup> M. H. Shaevitz,<sup>53</sup> M. Shaposhnikov,<sup>89</sup> R. Shrock,<sup>90</sup> S. Simone,<sup>91</sup> M. Skorokhvatov,<sup>92</sup> M. Sorel,<sup>3</sup> A. Sousa,<sup>93</sup> D. N. Spergel,<sup>94</sup> J. Spitz,<sup>23</sup> L. Stanco,<sup>44</sup> I. Stancu,<sup>28</sup> A. Suzuki,<sup>95</sup> T. Takeuchi,<sup>16</sup> I. Tamborra,<sup>96</sup> J. Tang,<sup>97,98</sup> G. Testera,<sup>81</sup> X. C. Tian,<sup>99</sup> A. Tonazzo,<sup>40</sup> C. D. Tunnell,<sup>100</sup> R. G. Van de Water,<sup>34</sup> L. Verde,<sup>101</sup> E. P. Veretenkin,<sup>43</sup> C. Vignoli,<sup>52</sup> M. Vivier,<sup>22</sup> R. B. Vogelaar,<sup>16</sup> M. O. Wascko,<sup>63</sup> J. F. Wilkerson,<sup>49,102</sup> W. Winter,<sup>97</sup> Y. Y. Y. Wong<sup>a</sup>,<sup>25</sup> T. T. Yanagida,<sup>57</sup> O. Yasuda,<sup>103</sup> M. Yeh,<sup>104</sup> F. Yermia,<sup>24</sup> Z. W. Yokley,<sup>16</sup> G. P. Zeller,<sup>5</sup> L. Zhan,<sup>61</sup> and H. Zhang<sup>62</sup>

<sup>1</sup>University of California, Irvine

<sup>2</sup>Instituto de Ciencias Nucleares, Universidad Nacional Autónoma de México

<sup>3</sup>Instituto de Fisica Corpuscular, CSIC and Universidad de Valencia

<sup>4</sup>Northern Illinois University

<sup>5</sup>Fermi National Accelerator Laboratory

<sup>6</sup>University of Basel

<sup>a</sup>Section editor

<sup>b</sup>Editor and corresponding author (pahuber@vt.edu and jmlink@vt.edu)

#### Introducing a light sterile neutrino



#### small mixing of active flavors with the 4<sup>th</sup> state

# The reactor and gallium anomalies (unexplained $v_e$ disappearance)



Mention et al. arXiv:1101:2755 [hep-ex]

SAGE coll., PRC 73 (2006) 045805

Warning: both are mere normalization issues The culprit may be in hidden systematics

#### Fitting the SBL ve anomalies



#### Mention et al., PRD 83 073006 (2011)

#### In a 2v framework:

$$P_{ee} \simeq 1 - \sin^2 2\theta_{new} \sin^2 \frac{\Delta m_{new}^2 L}{4E}$$

#### In a 3+1 scheme:

$$P_{ee} = 1 - 4 \sum_{j>k} U_{ej}^2 U_{ek}^2 \sin^2 \frac{\Delta m_{jk}^2 L}{4E}$$
$$\Delta m_{sol}^2 \ll \Delta m_{atm}^2 \ll \Delta m_{new}^2$$
$$\sin^2 \theta_{new} \simeq U_{e4}^2 = \sin^2 \theta_{14}$$

7

# The SBL accelerator anomalies (unexplained $v_e$ appearance in a $v_\mu$ beam)











8

## No anomaly in $v_{\mu}$ disappearance



only upper bounds (till now)

#### Tension in all vs models



 $\sin^2 2\theta_{e\mu} \simeq \frac{1}{4} \sin^2 2\theta_{ee} \sin^2 2\theta_{\mu\mu} \simeq 4|U_{e4}|^2 |U_{\mu4}|^2$ 

Giunti & Laveder

arXiv:1107.1452

10

### What non-SBL exp tell us on vs?

A.P., Review for Mod. Phys. Lett. A 28, 1330004 (2013)



• Solar + LBL reactors:

$$\sin^2 \theta_{14} < 0.04 \quad (90\% \text{ C.L.})$$

Bound indep. of reactor fluxes (KamLAND only shape)

Combination reduces the indication to the ~ 20 level



### From light to very light sterile vs

#### Light





 $\Delta m_{14}^2 \sim 1 \text{ eV}^2$ 

 $\Delta m_{14}^2 \in [10^{-3} - 10^{-1}] eV^2$ 

Motivations for investigating VLSv's

Theory does not provide info on v<sub>s</sub> mass-mixing which should be investigated without prejudice

Cosmology presents anomalous features which can be easily explained by VLSV's (but not by eV vs)

For the first time new experiments, born for other purposes (to measure  $\theta_{13}$ ) can probe sub-eV masses

#### New trends in cosmological data



 $\Delta N_{eff} \sim 0.6$ 



Similar findings in:

Wyman et al. [1307.7715 hep-ph] Giunti et al. [1309.3192 astro-ph]

 $m_v \sim 0.4 \text{ eV}$ 

## A VLSv provides both features

- Contribution to v mass in the sub-ev range

- Only partial thermalization (Neff < 1)



Hannestad et al 1204.5861

No need to resort to more exotic mechanisms such as big lepton asymmetry

self-interactions

## Studying VLSvs with $\theta_{13}$ experiments

#### Double CHOOZ



#### RENO







#### Observed far/near deficit implies $\theta_{13}$ > 0

$$P_{ee} \simeq 1 - 4|U_{e3}|^2 (1 - |U_{e3}|^2) \sin^2 \frac{\Delta m_{13}^2 L}{4E}$$

$$4|U_{e3}|^2(1-|U_{e3}|^2) \equiv \sin^2 2\theta_{13}$$



Figure from Bezerra et al. Phys Lett. B 725 (2013) 271

### 4v formulae valid at reactors

Neglecting terms  $\propto |U_{e3}|^2 |U_{e4}|^2$  or  $\propto \Delta m_{sol}^2$  we have

$$P_{ee} \simeq 1 - \sin^2 2\theta_{13} \sin^2 \left(\frac{\Delta m_{13}^2 L}{4E_\nu}\right) - \sin^2 2\theta_{14} \sin^2 \left(\frac{\Delta m_{14}^2 L}{4E_\nu}\right)$$

Sizable effects expected both at near and far site

$$\frac{\Delta m_{14}^2 L}{4E_{\nu}} \simeq 1.267 \left(\frac{\Delta m_{14}^2}{10^{-2} \text{ eV}^2}\right) \left(\frac{L}{400 \text{ m}}\right) \left(\frac{4 \text{ MeV}}{E_{\nu}}\right)$$

Far/hear ratios expected to give info on VLSV's



Numerical examples

Figures from Esmaili et al., Phys. Rev. D 88, 073012 (2013)

Far/hear ratios are expected to give info on VLSvs

### 4-flavor analysis performed for free $\theta_{13}$



A.P. JHEP 1310, 172 (2013) [1308.5880 hep-ph]

Each experiment excludes a different region Synergy inutheoglobal, combination

 $\vartheta_{14}$  free

#### Estimate of $\theta_{13}$ in a 4v framework



A.P. JHEP 1310, 172 (2013) [1308.5880 hep-ph]

3v estimate robust provided that  $\Delta m_{14}^2 > 6 \times 10^{-3} \text{ eV}^2$ No lower bound for smaller  $\Delta m_{14}^2 (\theta_{13} - \theta_{14} \text{ degeneracy})$ However, in this region lower bound by T2K



- Possible indications of eV sterile neutrinos
- Global interpretation problematic (app/dis tension)
- Hint from cosmology also is difficult to explain
- VLSv's with  $\Delta m^2 \sim [10^{-3} 10^{-1}]$  eV <sup>2</sup> offer an option for cosmo hints (dark rad, and hot-dark-matter)
- New information on eV/sub-eV vs indispensable

# What if a v, were discovered?

First concrete extension of the SM; will need scrutiny Properties beyond  $m \notin \theta$ ? Self interactions  $\notin$  with DM?

Subleading effets expected in osc. phenomenology. NMH & CPV sensitivities altered. New CPV phases.

Impact in cosmology (radiation and hot dark matter)

Impact in astrophysics (supernova explosion)

It will be natural to think that several  $v_s$  may exist and explain other observations: DM (keV), baryon asymmetry via leptogenesis and small v mass (GUT), etc...

# Thank you for your attention!

# Backup slides

### Are systematics under control?



A.C. Hayes et al. arXiv:1309.4146 [nucl-th]

Systematics in reactor spectra may have been underestimated

#### Impact of a light sterile neutrino in *β*-decay



Kraus et al., arXiv:1105.1326

Formaggio & Barrett, arXiv:1105.1326

### Impact of a light sterile in Ov2B-decay

$$m_{\beta\beta} = \left| \sum U_{ei}^2 m_i \right| = \left| c_{12}^2 c_{13}^2 c_{14}^2 m_1 + s_{12}^2 c_{13}^2 c_{14}^2 m_2 e^{i\alpha} + s_{13}^2 c_{14}^2 m_3 e^{i\beta} + s_{14}^2 m_4 e^{i\gamma} \right|$$



Barry, Rodejohann, Zhang, arXiv:1105.3911

# What cosmology tells us?



Extra relativistic content ~ 2 sigma effect

"Dark radiation"

#### Warnings:

- AN<sub>eff</sub> > 0 driven by tension in H<sub>0</sub> determination (CMB vs Astro
- $\Delta N_{eff} \in [0, 1]$  requires a mechanism hampering vs thermalization
- N<sub>eff</sub> is not specific of sterile neutrinos

#### Role of reactor experiments in v, searches

Reactor experiments are sensitive to the mixing of the sterile v with the electron v  $(|U_{e4}|^2 = \sin^2\theta_{14})$ 



Existing constraints Limited to  $\Delta m_{14}^2$  > few x 10<sup>-2</sup> eV<sup>2</sup>

> Obtained with baselines < 100 m

New experiments with longer baselines are now operating and make it possible to probe smaller values of  $\Delta m_{14}^2$ 

### 3-flavor analysis ( $\theta_{14}=0$ )



A.P. JHEP 1310, 172 (2013) [1308.5880 hep-ph]

Excellent agreement with the three collaborations Combination gives  $\theta_{13}$  at ~10 sigma level  $sin^2\theta_{13} = 0.023 + / - 0.002$ 

### 4-flavor analysis performed at fixed $\theta_{13}$



A.P. JHEP 1310, 172 (2013) [1308.5880 hep-ph]

All 3 experiments exclude a lobe around the atm. splitting (far site sees the osc. phase, at near site negligible effects) All 3 experiments exclude a second lobe around  $10^{-2}$  eV<sup>2</sup> (at far site averaged osc., near site sees oscillation phase)